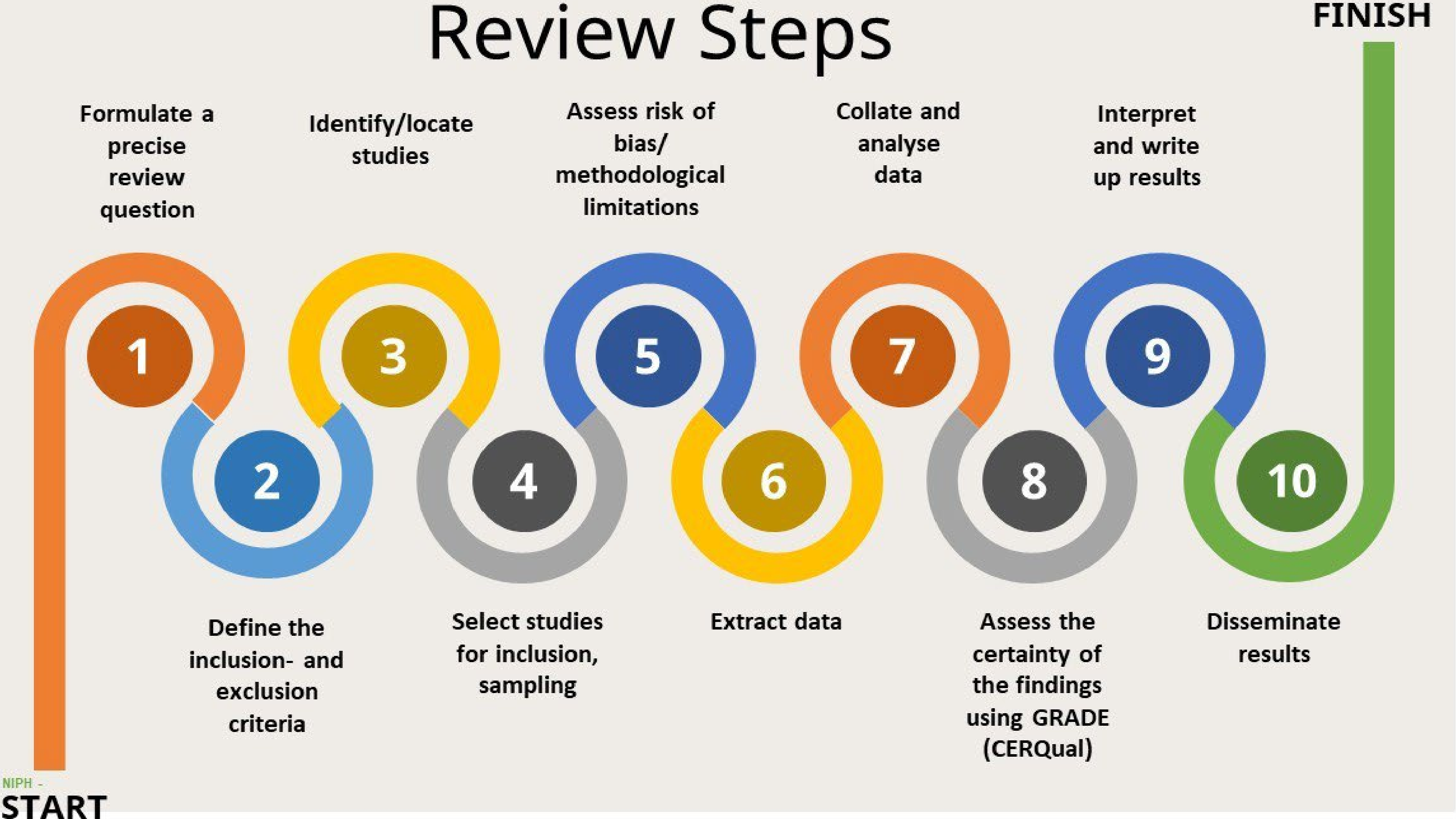


GRADE

Assessing the confidence in the evidence

Eva Denison, senior researcher

Review Steps



What is GRADE?

<https://gdt.grade.pro.org/app/handbook/handbook.html#h.svwngs6pm0f2>

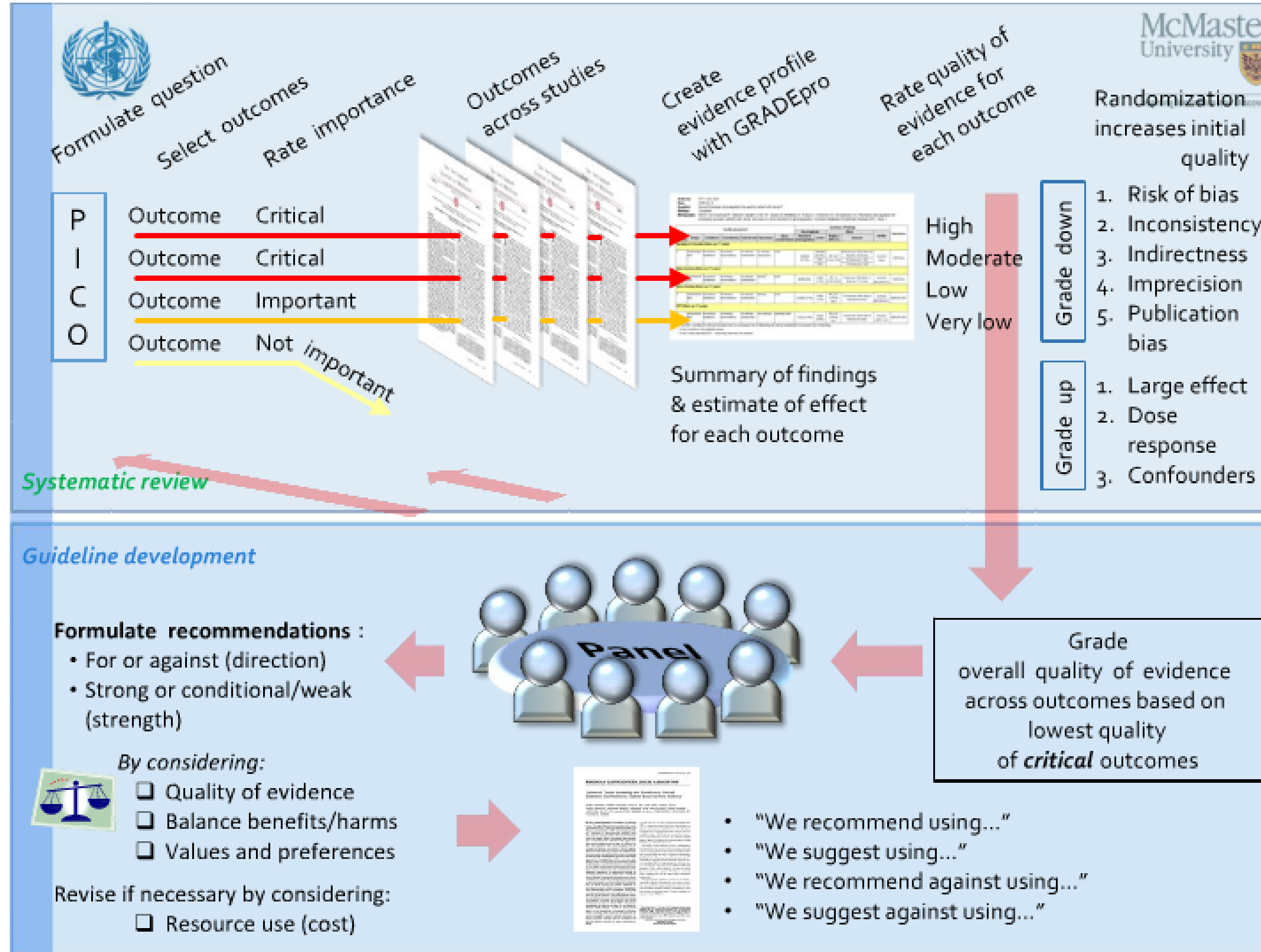
- The GRADE approach is a system for rating the quality of a body of evidence in systematic reviews and other evidence syntheses, such as health technology assessments, and guidelines and grading recommendations in health care.
- GRADE offers a transparent and structured process for developing and presenting evidence summaries and for carrying out the steps involved in developing recommendations.
- It can be used to develop clinical practice guidelines (CPG) and other health care recommendations (e.g. in public health, health policy and systems and coverage decisions).

GRADE in systematic reviews

<https://gdt.grade.pro.org/app/handbook/handbook.html#h.svwngs6pm0f2>

- Systematic reviews should provide a comprehensive summary of the evidence but they should typically not include health care recommendations.
- Use of the GRADE approach by systematic review authors terminates after rating the quality of evidence for outcomes and clearly presenting the results in an evidence table, i.e. an [GRADE Evidence Profile](#) or a [Summary of Findings table](#).

GRADE in context



GRADE – SoF

Face-to-face interventions for informing or educating parents about early childhood vaccination (Copyright © 2018 The Authors. Cochrane Database of Systematic Reviews published by John Wiley & Sons, Ltd. Cochrane Collaboration.)

SUMMARY OF FINDINGS FOR THE MAIN COMPARISON *[Explanation]*

Face-to-face Interventions directed to parents for informing or educating parents about early childhood vaccination, as compared with control						
Patient or population: parents of preschool-aged children or expectant parents Settings: clinics, antenatal classes, or the mother's home Intervention: face-to-face information or educational interventions Comparison: control (no education, other education, or control not described)						
Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	No of Participants (studies)	Quality of the evidence (GRADE)	Comments
	Assumed (baseline) risk	Corresponding (Intervention) risk				
	Control (no face-to-face information or education)	Face-to-face information or education				
Vaccination status Final time point (3, 6, or 12 months post-intervention)	55 per 100 ¹	66 per 100 (57 to 75)	RR 1.20 (1.04 to 1.37)	3004 (7 studies)	⊕⊕○○ low ²	The results for this outcome were variable, so the true result may be substantially higher or lower than this estimate



Grading of Recommendations
Assessment,
Development and Evaluation

<http://www.gradeworkinggroup.org/>

Table: GRADE's approach to rating quality of evidence (aka confidence in effect estimates)

For each outcome based on a systematic review and across outcomes (lowest quality across the outcomes critical for decision making)

1. Establish initial level of confidence		2. Consider lowering or raising level of confidence		3. Final level of confidence rating
Study design	Initial confidence in an estimate of effect	Reasons for considering lowering or raising confidence		Confidence in an estimate of effect across those considerations
Randomized trials →	High confidence	↓ Lower if	↑ Higher if*	High ⊕⊕⊕⊕
Observational studies →	Low confidence	Risk of Bias	Large effect	Moderate ⊕⊕⊕○
		Inconsistency	Dose response	Low ⊕⊕○○
		Indirectness	All plausible confounding & bias	Very low ⊕○○○
		Imprecision	• would reduce a demonstrated effect or • would suggest a spurious effect if no effect was observed	
		Publication bias		

*upgrading criteria are usually applicable to observational studies only.

Establish initial level of evidence

1.
**Establish initial
level of confidence**

<i>Study design</i>	<i>Initial confidence in an estimate of effect</i>
<i>Randomized trials →</i>	High confidence
<i>Observational studies →</i>	Low confidence

Raising the level of certainty (confidence) of observational studies

2.

Consider lowering or raising level of confidence

Reasons for considering lowering or raising confidence	
↓ Lower if	↑ Higher if*
Risk of Bias	Large effect
Inconsistency	Dose response
Indirectness	All plausible confounding & bias
Imprecision	• would reduce a demonstrated effect
Publication bias	OR
	• would suggest a spurious effect if no effect was observed

- Magnitude of effect
 - Large – may increase one level
 - Very large – may increase two levels
 - Dose response gradient
 - Upgrade one level
 - Confounding and bias
 - Upgrade one level
 - See <https://gdt.gradepro.org/app/handbook/handbook.html#h.gwd531rylwaj>
- Consult a statistician!

*upgrading criteria are usually applicable to observational studies only.

Lowering the level of certainty (confidence)

2.
Consider lowering or raising level of confidence

Reasons for considering lowering or raising confidence

↓ Lower if	↑ Higher if*
Risk of Bias	Large effect
Inconsistency	Dose response
Indirectness	All plausible confounding & bias
Imprecision	• would reduce a demonstrated effect
Publication bias	OR
	• would suggest a spurious effect if no effect was observed

- «No serious limitations»
 - Do not downgrade
- «Serious limitations»
 - Downgrade one step
 - Give explanation
- «Very serious limitations»
 - Downgrade two steps
 - Give explanation
- Publication bias
 - Not suspected: do not downgrade
 - Suspected: downgrade one step

Determinants of quality

5 factors that can lower quality

1. limitations of detailed design and execution
(risk of bias criteria)
2. Inconsistency *(or heterogeneity)*
3. Indirectness *(PICO and applicability)*
4. Imprecision *(number of events and confidence intervals)*
5. Publication bias

Risk of bias (part of internal validity)

Review authors' judgement:

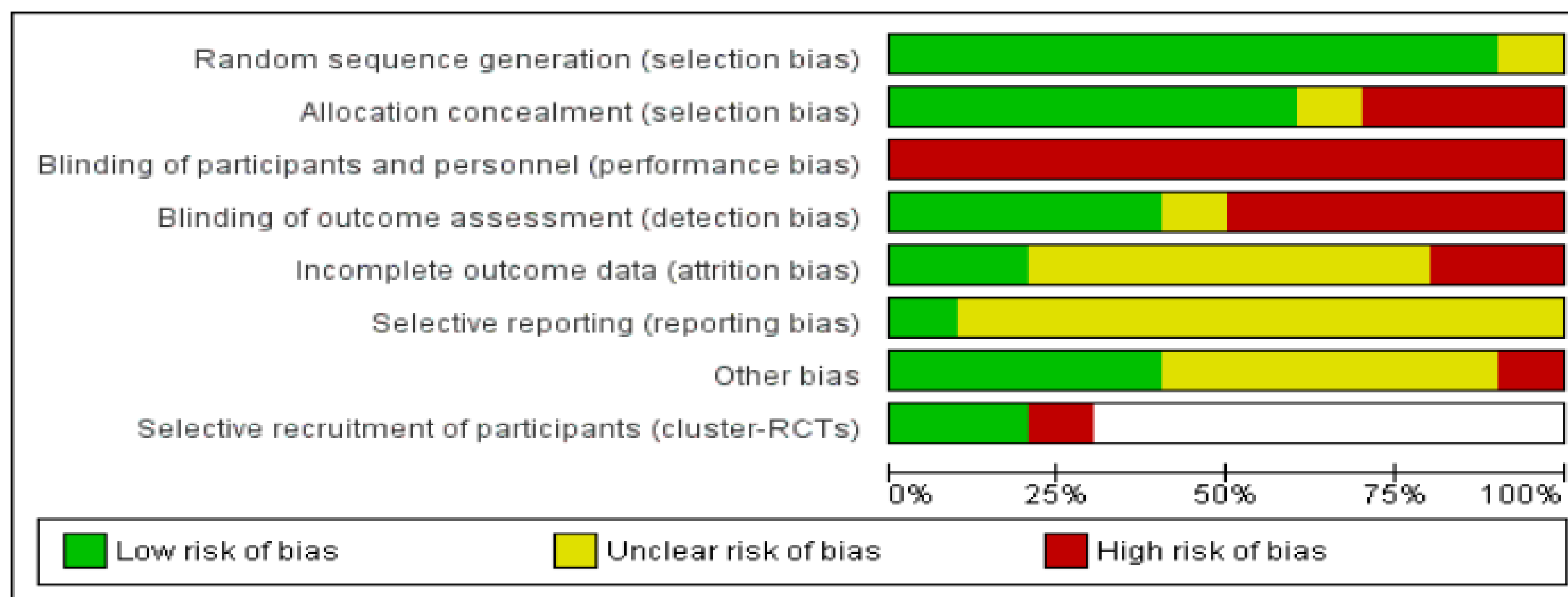
- **Was the allocation sequence adequately generated?**
- **Was allocation adequately concealed?**
- **Was knowledge of the allocated intervention adequately prevented during the study?**
 - Participants
 - Trial personnel
- **Were incomplete outcome data adequately addressed?**
- **Are reports of the study free of suggestion of selective outcome reporting?**
- **Was the study apparently free of other problems that could put it at a high risk of bias?**

17

Domains from the Cochrane Handbook: <http://handbook.cochrane.org/>

Risk of bias summary for a body of evidence

Figure 3. Risk of bias graph: review authors' judgements about each risk of bias item presented as percentages across all included studies



GRADE assesment of **study limitations** across studies

Risk of bias	Across studies	Interpretation	Considerations	GRADE assesment of study limitations
Low risk of bias.	Most information is from studies at low risk of bias.	Plausible bias unlikely to seriously alter the results.	No apparent limitations.	No serious limitations, do not downgrade.
Unclear risk of bias.	Most information is from studies at low or unclear risk of bias.	Plausible bias that raises some doubt about the results.	Potential limitations are unlikely to lower confidence in the estimate of effect.	No serious limitations, do not downgrade.
			Potential limitations are likely to lower confidence in the estimate of effect.	Serious limitations, downgrade one level.
High risk of bias.	The proportion of information from studies at high risk of bias is sufficient to affect the interpretation of results.	Plausible bias that seriously weakens confidence in the results.	Crucial limitation for one criterion, or some limitations for multiple criteria, sufficient to lower confidence in the estimate of effect.	Serious limitations, downgrade one level.
			Crucial limitation for one or more criteria sufficient to substantially lower confidence in the estimate of effect.	Very serious limitations, downgrade two levels.

Lowering the level of certainty (confidence)

2.
Consider lowering or raising level of confidence

Reasons for considering lowering or raising confidence

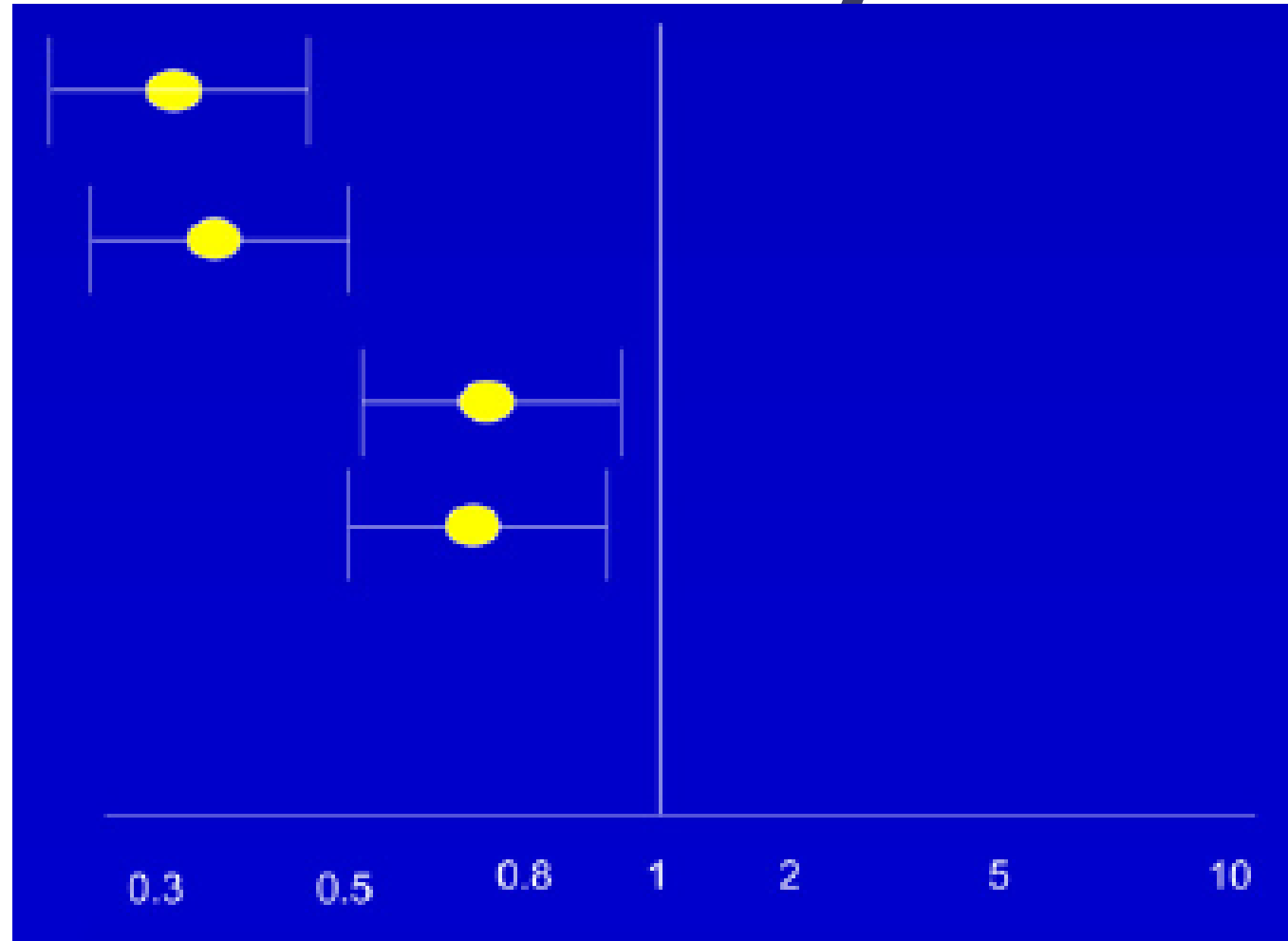
↓ Lower if	↑ Higher if*
Risk of Bias	Large effect
Inconsistency	Dose response
Indirectness	All plausible confounding & bias
Imprecision	• would reduce a demonstrated effect
Publication bias	OR
	• would suggest a spurious effect if no effect was observed

- «No serious limitations»
 - Do not downgrade
- «Serious limitations»
 - Downgrade one step
 - Give explanation
- «Very serious limitations»
 - Downgrade two steps
 - Give explanation
- Publication bias
 - Not suspected: do not downgrade
 - Suspected: downgrade one step

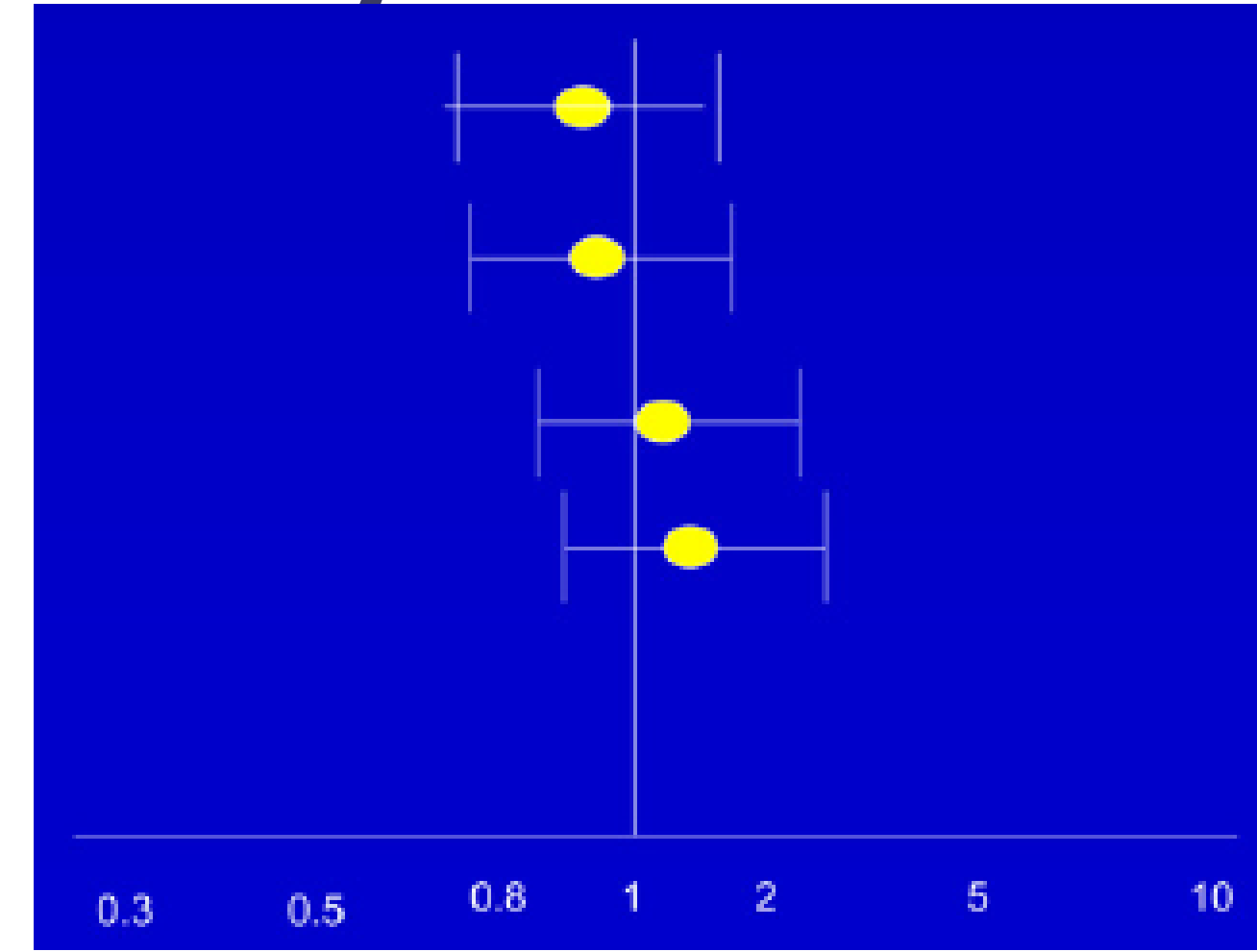
Inconsistency across the results

- Similarity in effect estimates
- Results pointing in the same directions
- The confidence intervals cover the effect estimates

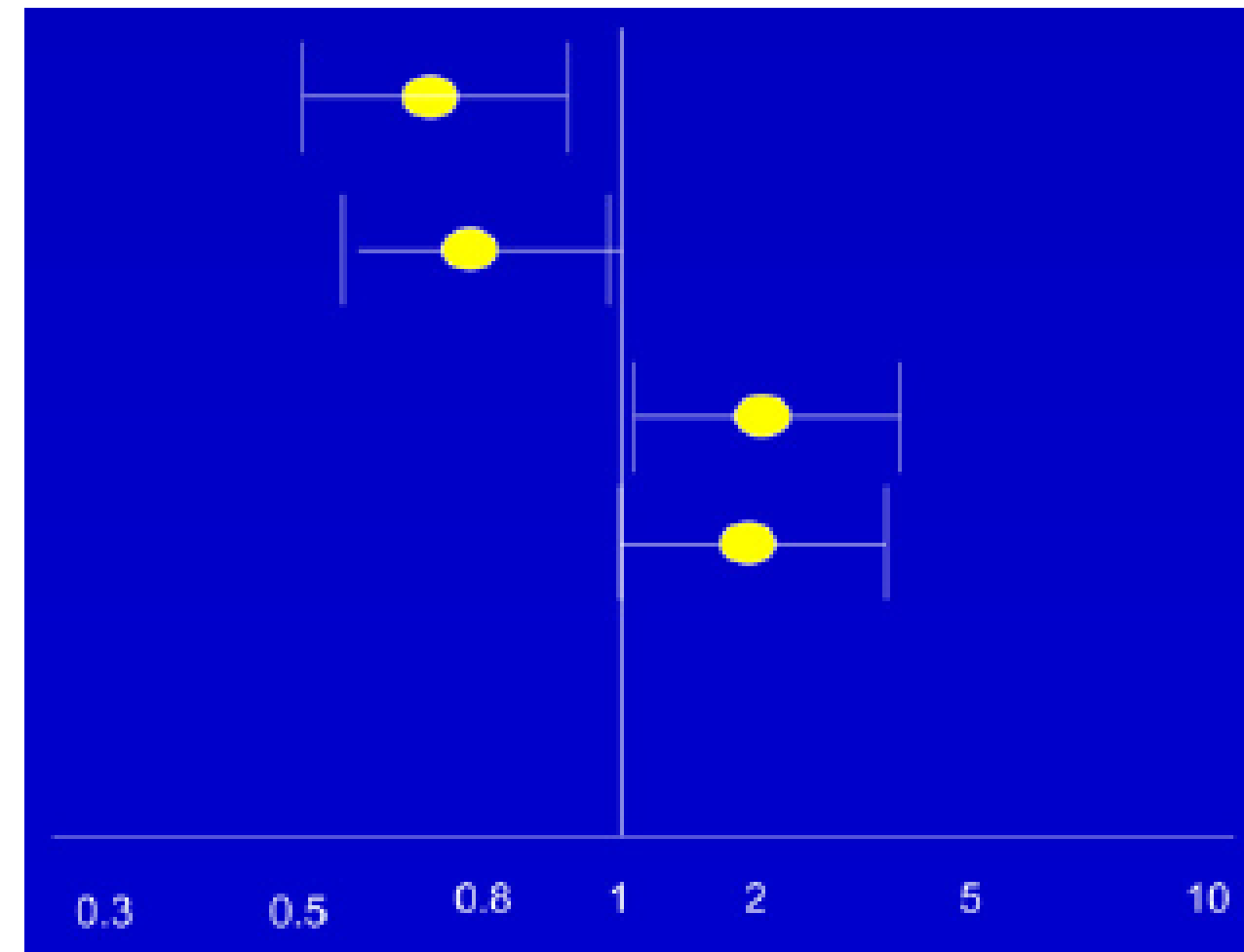
Inconsistency - Heterogeneity



No overlap,
same direction of effect



Overlap,
different directions of effect



No overlap,
different directions of effect

Lowering the level of certainty (confidence)

2.
Consider lowering or raising level of confidence

Reasons for considering lowering or raising confidence

↓ Lower if	↑ Higher if*
Risk of Bias	Large effect
Inconsistency	Dose response
Indirectness	All plausible confounding & bias
Imprecision	• would reduce a demonstrated effect
Publication bias	OR
	• would suggest a spurious effect if no effect was observed

- «No serious limitations»
 - Do not downgrade
- «Serious limitations»
 - Downgrade one step
 - Give explanation
- «Very serious limitations»
 - Downgrade two steps
 - Give explanation
- Publication bias
 - Not suspected: do not downgrade
 - Suspected: downgrade one step

Indirectness of evidence

- Differences in population (applicability)
- Differences in interventions (applicability)
- Differences in outcomes measures (surrogate outcomes)
- Indirect Comparisons

<https://gdt.gradeopro.org/app/handbook/handbook.html#h.w6r7mtvq3mjz>

Lowering the level of certainty (confidence)

2.
Consider lowering or raising level of confidence

Reasons for considering lowering or raising confidence

↓ Lower if	↑ Higher if*
Risk of Bias	Large effect
Inconsistency	Dose response
Indirectness	All plausible confounding & bias
Imprecision	• would reduce a demonstrated effect
Publication bias	OR
	• would suggest a spurious effect if no effect was observed

- «No serious limitations»
 - Do not downgrade
- «Serious limitations»
 - Downgrade one step
 - Give explanation
- «Very serious limitations»
 - Downgrade two steps
 - Give explanation
- Publication bias
 - Not suspected: do not downgrade
 - Suspected: downgrade one step

Imprecision

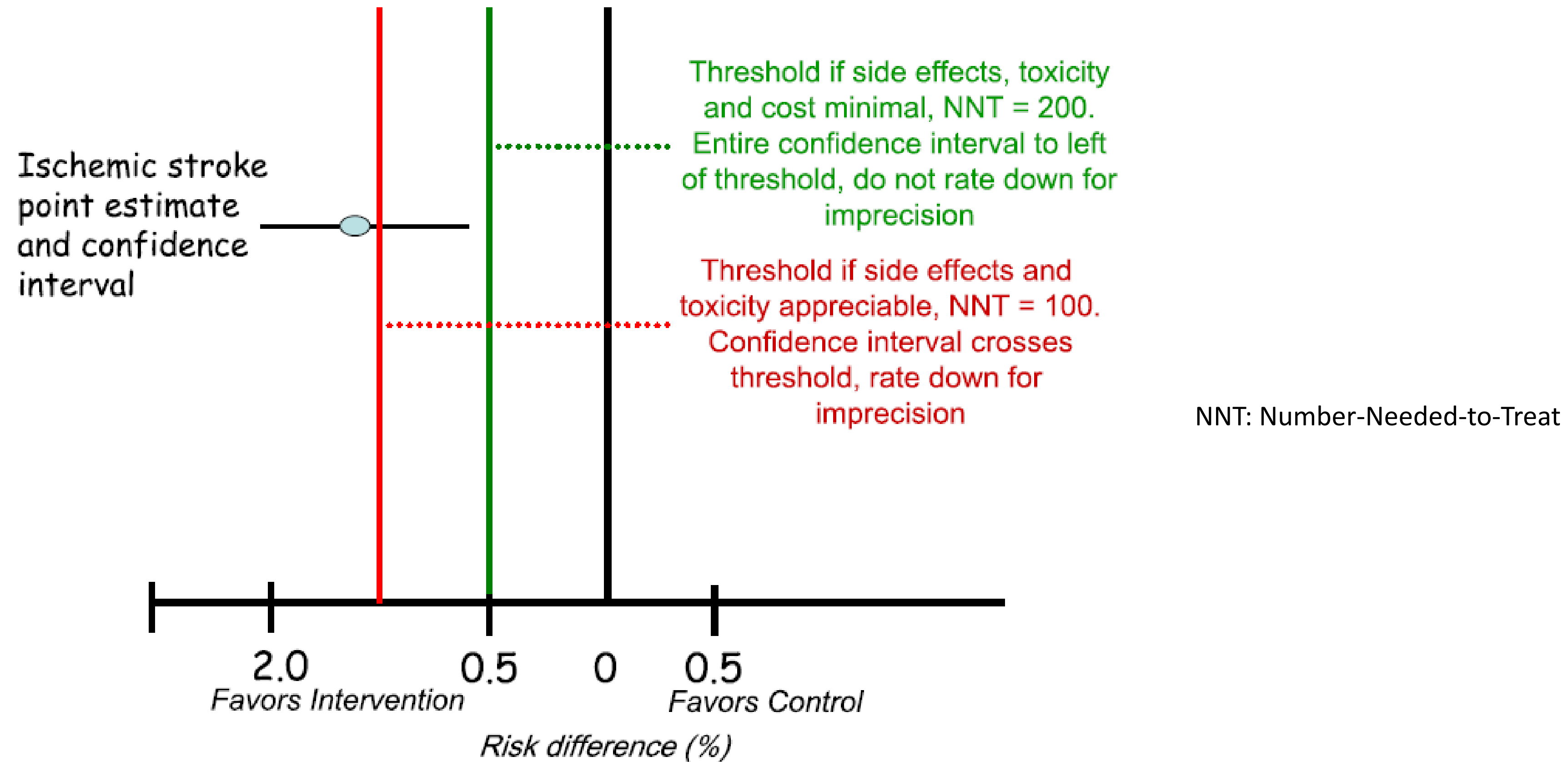


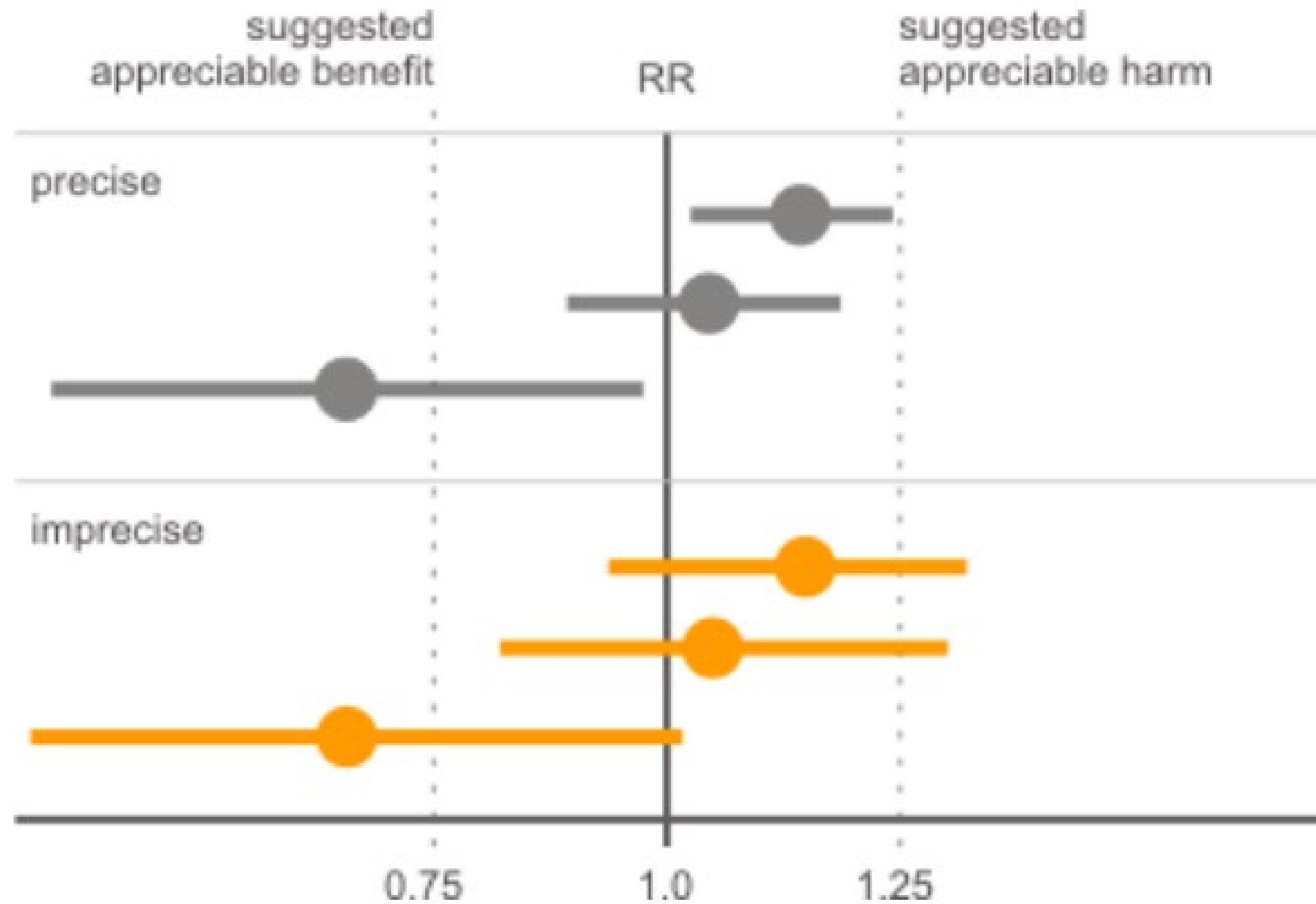
Fig. 1. Rating down for imprecision in guidelines: thresholds are key.

Imprecision

How much data do you have?

- Not a lot of data – evidence
- Broad confidence intervals
- Precision of results

Imprecision



Lowering the level of certainty (confidence)

2.
Consider lowering or raising level of confidence

Reasons for considering lowering or raising confidence

↓ Lower if	↑ Higher if*
Risk of Bias	Large effect
Inconsistency	Dose response
Indirectness	All plausible confounding & bias
Imprecision	• would reduce a demonstrated effect
Publication bias	OR
	• would suggest a spurious effect if no effect was observed

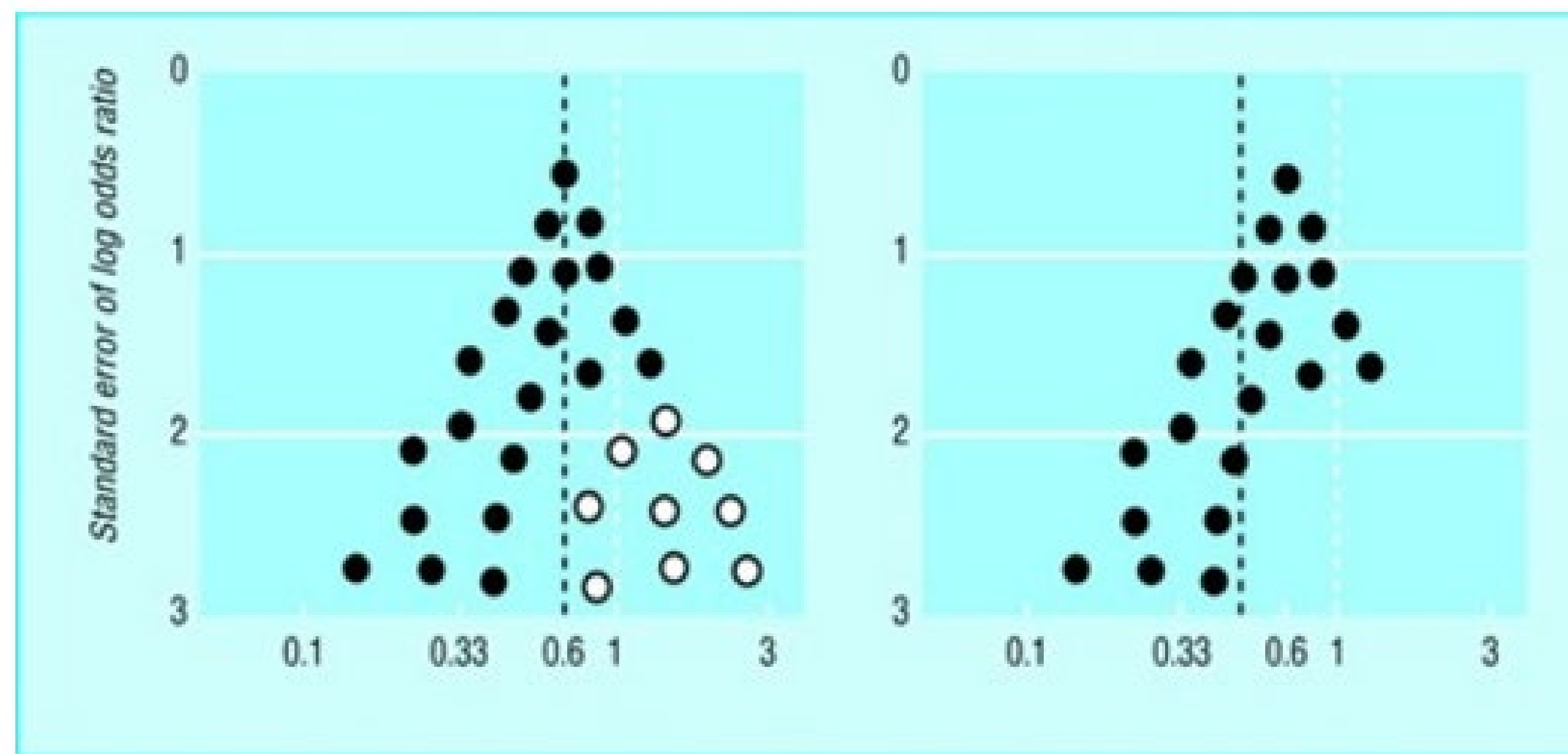
- «No serious limitations»
 - Do not downgrade
- «Serious limitations»
 - Downgrade one step
 - Give explanation
- «Very serious limitations»
 - Downgrade two steps
 - Give explanation
- Publication bias
 - Not suspected: do not downgrade
 - Suspected: downgrade one step

Publication bias

Publication bias is a systematic under-estimation or an over-estimation of the underlying beneficial or harmful effect due to the **selective publication of studies**. Confidence in the combined estimates of effects from a systematic review can be reduced when publication bias is suspected, even when the included studies themselves have a low risk of bias.

Publication bias

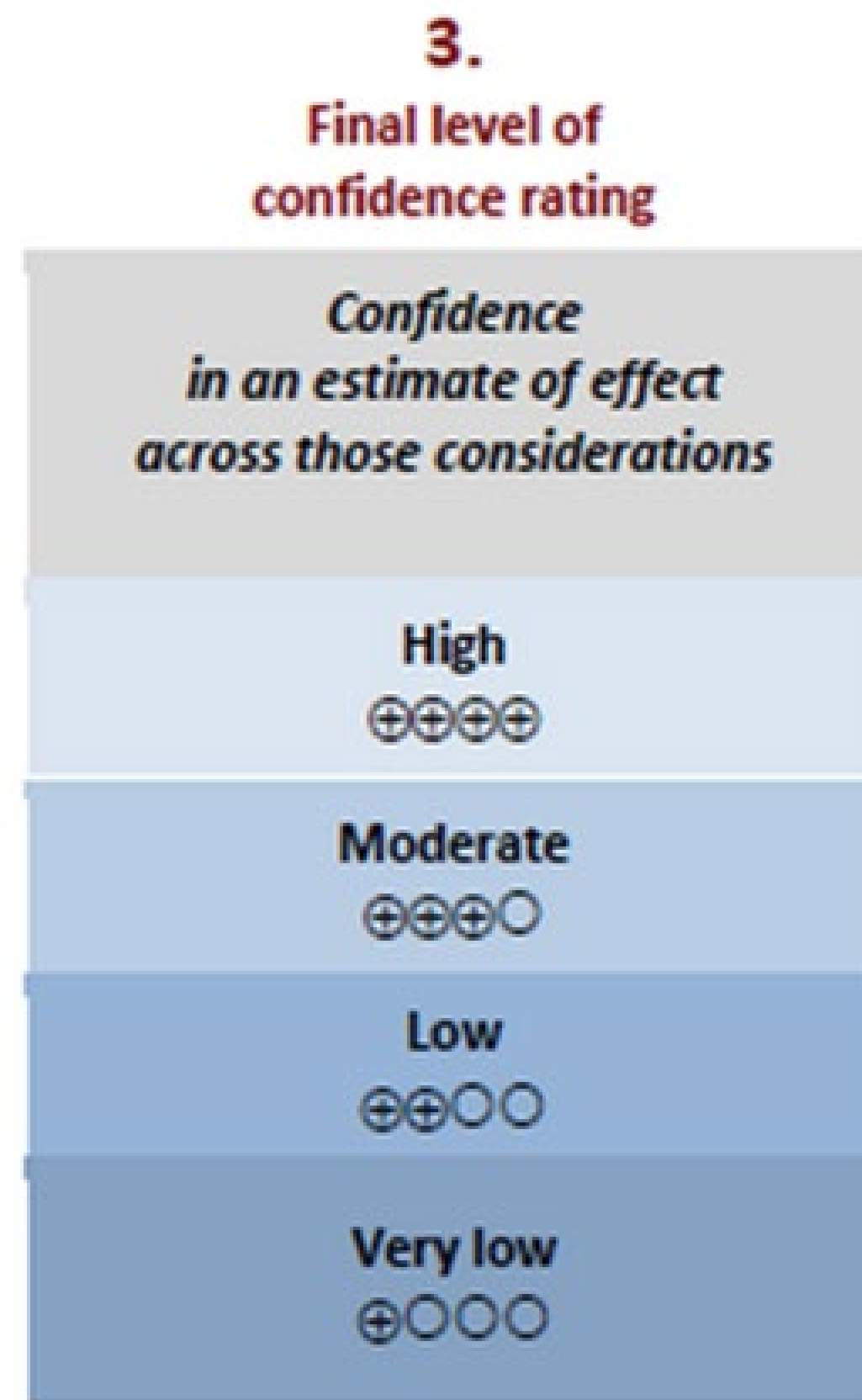
- Funnel plot



No Publication Bias

Publication Bias

Let's look at each step



We are very confident that the true effect lies close to that of the estimate of the effect

We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect

We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

GRADE categories for the quality of a body of evidence

Quality level	Symbol	Definition
High	⊕⊕⊕⊕	We are very confident that the true effect lies close to that of the estimate of the effect.
Moderate	⊕⊕⊕○	We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different.
Low	⊕⊕○○	Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect.
Very low	⊕○○○	We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect.

The Summary of Findings tables

- Is a summary of the key findings from the systematic review for users
- Presents
 - the quality of the evidence
 - the magnitude of the effect
 - reasons behind decisions

Outcomes	Illustrative comparison (95% CI)	Relative effect (95% CI)	No of Participants (studies)	Evidence (GRADE)	Comments
Quality of Life St George's Respiratory Questionnaire. Scale from: 0 to 100 (follow-up: 3 to 12 months)	The mean quality of life ranged across control groups from 38 to 60 points	The mean quality of life in the intervention groups was 2.58 lower (5.14 to 0.02 lower)	656 (7)	⊕⊕⊕⊕ moderate ^a	Lower score indicates better quality of life. Change of less than 10 points is not shown to be important to patients.
Borg Scale from: 0 to 10 (follow-up: 3 to 6 months)	The mean dyspnoea ranged across control groups from 1.2 to 4.1 points	The mean dyspnoea in the intervention groups was 0.53 lower (0.98 to 0.1 lower)	144 (2)	⊕⊕⊕⊕ low ^a	Lower score is improvement
Number and severity of exacerbations	See comment	See comment	Not estimable ^a (3)	⊕⊕⊕⊕ moderate ^a	See comment
Respiratory-related hospital admissions	10 per 100 high risk population ^a (12 to 10)	39 per 100 (32 to 47)	966 (6)	⊕⊕⊕⊕ moderate ^a	
Emergency department visits	The mean emergency department visits for lung diseases in the control groups		328 (4)	⊕⊕⊕⊕ moderate ^a	



Grading of Recommendations Assessment, Development and Evaluation

<http://www.gradeworkinggroup.org/>

Table: GRADE's approach to rating quality of evidence (aka confidence in effect estimates)
For each outcome based on a systematic review and across outcomes (lowest quality across the outcomes critical for decision making)

1. Establish initial level of confidence		2. Consider lowering or raising level of confidence		3. Final level of confidence rating
Study design	Initial confidence in an estimate of effect	Reasons for considering lowering or raising confidence		Confidence in an estimate of effect across those considerations
		↓ Lower if	↑ Higher if*	
Randomized trials →	High confidence	Risk of Bias	Large effect	High ⊕⊕⊕⊕
		Inconsistency	Dose response	
		Indirectness	All plausible confounding & bias	Moderate ⊕⊕⊕○
		Imprecision	• would reduce a demonstrated effect or	
Observational studies →	Low confidence	Publication bias	• would suggest a spurious effect if no effect was observed	Low ⊕⊕○○
				Very low ⊕○○○

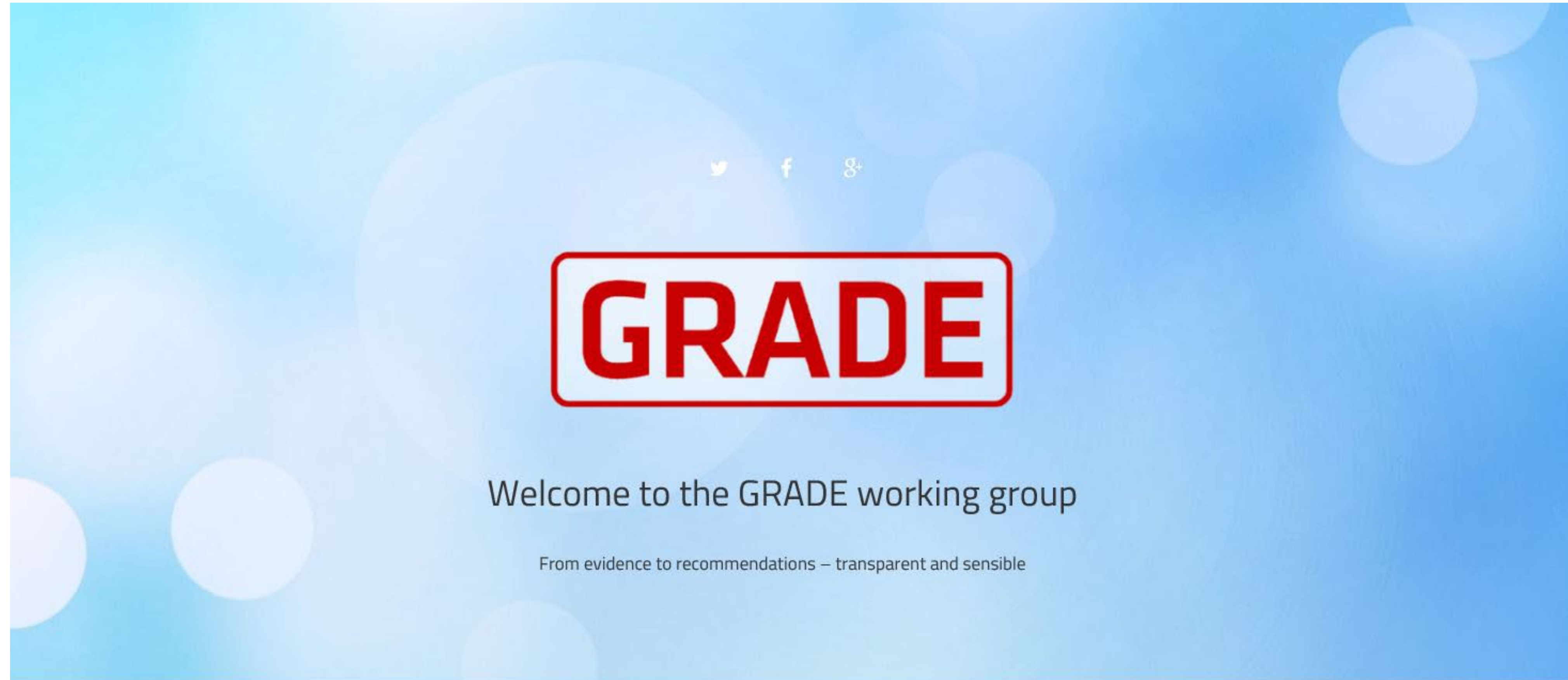
*upgrading criteria are usually applicable to observational studies only.



SUMMARY OF FINDINGS FOR THE MAIN COMPARISON *[Explanation]*

Immediate post-treatment effects of exercise for osteoarthritis of the knee						
Patient or population: patients with knee OA Settings: clinic or community Intervention: land-based exercise Comparison: no exercise						
Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	Number of participants (studies)	Quality of the evidence (GRADE)	Comments
	Assumed risk	Corresponding risk				
	No exercise	Land-based exercise				
Pain Self-report questionnaires. Scale from 0-100 (0 represents no pain)	Mean pain in the control groups was 44 points	Mean pain in intervention groups was 0.49 standard deviations lower (0.39-0.59 lower) This translates to an absolute mean reduction of 12 (10-15) points compared with control group on a 0-100 scale ^a		3537 (44 studies)	⊕⊕⊕⊕ High	SMD -0.49 (-0.39 to -0.59) Absolute reduction in pain 12% (10%-15%); relative change 27% (21%-32%) ^a NNTB 4 (3-5) ^a
Physical function Self-report questionnaire. Scale from 0-100 (0 represents no physical disability)	Mean physical function in control groups was 38 points	Mean physical function in intervention groups was 0.52 standard deviations lower (0.39-0.64 lower) This translates to an absolute mean improvement of 10 (8-13) points on a 0-100 scale ^c		3913 (44 studies)	⊕⊕⊕○ Moderate ^d	SMD -0.52 (-0.39 to -0.64) Absolute improvement 10% (8%-13%); relative improvement 26% (20%-32%) ^c NNTB 4 (3-5) ^b

<http://www.gradeworkinggroup.org/>



Many online learning resources available on web-site

What is GRADE?

The GRADE working group

The Grading of Recommendations Assessment, Development and Evaluation (short GRADE) working group began in the year 2000 as an informal collaboration of people with an interest in addressing the shortcomings of grading systems in health care. The working group has developed a common, sensible and transparent approach to grading quality (or certainty) of evidence and strength of recommendations. Many international organizations have provided input into the development of the GRADE approach which is now considered the standard in guideline development.

Learning material - examples

- Cochrane interactive learning <http://training.cochrane.org/interactivelearning>
- GRADE <https://cebgrade.mcmaster.ca/>
- Equatornetwork <http://www.equator-network.org/>
- Testing treatments <http://www.testingtreatments.org/>