DOE's Water Power Technologies Office (WPTO)



Energy Efficiency & Renewable Energy



Addressing the Challenge of Two-way Fish Migration – Fish Passage Technology R&D and Standard Module Hydropower Dana N. McCoskey Hydropower Summit Trondheim, Norway February 5, 2020





- Summary of US Hydropower and Fish
 - -US Hydropower basics
 - -Species of Concern
 - -Regulation and fish protection mitigation measures
- DOE R&D portfolio overview
- Fish passage challenge
 - -Approaches
 - -Current funded project examples (labs and industry/academia):
 - Standard Modular Hydropower
 - Technology R&D

Hydropower in the U.S.



- 84,000 dams in the US, only 2,400 produce power
- **80 GW** of hydropower capacity (7% of renewable capacity)

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- 49% owned by the U.S. Government
- Nearly 1.5 GW of capacity added in the last decade
 - Regulations, high costs, and environmental concerns limit development
- Average age of hydropower dams is 64 years, constructed before the current US regulatory framework
- 15 federal US agencies have a role in hydropower
- **\$8.9 billion** in refurbishments & upgrades invested across 158 hydropower dams in the U.S. between 2007-2017
- Number of US dams with fish passage unknown
 - Performance is highly variable and has not been accessed at a national scale
- The United States has the most diverse temperate freshwater fish fauna with ~790 species
 - 1213 fish species in North America:
 - 28% migratory, 50% non-migratory, and 22% do not have their migration pattern described

Overview of river connectivity and hydropower dams by age

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River connectivity for migratory fish



Distribution of hydroelectric plants



Source: U.S. Energy Information Administration, *Preliminary Monthly Electric Generator Inventory* Note: Click to enlarge.









Example of American Eel, a species of concern





American eel and Hydropower

Fig. 3. ESA listing the American eel could impact 32,719 MW of nameplate capacity at 939 US hydropower plants. "Eel presence" identifies 8-digit USGS US river subbasins where eels are currently present or where they were historically present.

Jager et al. 2013

Overview of Geographic Impact and Regulation for Protective Measures





- Private dams are regulated by the Federal Energy Regulatory Commission
- Federal resource agencies can prescribe mitigation requirements become part of private hydropower licenses
 - Endangered Species Act
 - Section 7: Consultation to avoid adverse modification of critical habitat
 - Section 18: prescription of fishway maintenance and operations
 - National Environmental Policy Act, Federal Power Act, Clean Water Act, etc.
- Other stakeholders: states, tribes, special interest groups, local residents can provide input into the process
- Frequency and location of mitigation types ordered on private hydropower project licenses.
- Size of bar graphs are relative to the number of licenses ordering mitigations by region.

(Oak Ridge National Lab - Schramm et al. (2016))



OUR GUIDING PRINCIPLES

DEPARTMENT OF ENERGY MISSION

To enhance U.S. security and economic growth through **transformative science**, **technology innovation**, and **market solutions** to meet the nation's energy and environmental challenges

ENERGY EFFICIENCY AND RENEWABLE ENERGY (EERE) STRATEGIC OBJECTIVES

Energy Affordability	Energy Integration	Energy Storage
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Water Power Technologies Office - Strategic Approaches

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More cost-effective hydro & more flexible, valuable hydro and pumped storage

Water Power Technologies Office - Strategic Connections

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Environmental R&D:

- Monitoring technologies to evaluate impacts
- Technologies and strategies to avoid, minimize, or mitigate effects
- Develop better metrics for sustainability evaluations
- Assess impacts of long-term hydrologic variations
- Improve ability to assess reservoir emissions
- Identify opportunities for basin scale assessments

Technology R&D for Low-Impact Hydropower Growth: - Standard Module Hydropower Technologies

Modernization, Upgrades: - Develop advanced sensor suites to empower data driven decisions

Grid Reliability, Resilience:

- Gather data to assess the true costs and constraints to flexible operations

Fish Passage:

- Existing hydropower
- Low impact new hydro

Challenges:

- System-level:
 - Populations, abiotic/water quality, habitat, connectivity, etc.

Facility-level:

- Upstream
- Downstream passage
 - Turbine
 - Other routes/methods: fish
 - exclusion, fishways, spillways, etc.

Research and Development Processes: Monitoring, modeling, technology development, risk/ stakeholders, mitigation/management, etc.

Standard Modular Hydropower - Approach for New Hydro ENERGY Energy Efficiency & Renewable Energy

New Stream Reach Development

Environmental Attributes of NSD Sites with <10MW Potential

Total # of <10MW sites Potadromous fish species in watershed Federally listed fish species in watershed Fish species of concern in watershed Whitewater runs within 500m Critical habitat within 8000m 303d listed waterbodies within 500m Fish Passage Concern Wild and scenic rivers Habitat Concern within 2500m Recreation Passage Concern Boat ramps Water Quality Concern within 500m 1.000 3000 3,000 \$.000 5.000 G.000 3,000 8,000 10.000 0 2.000 Number of Sites

 Majority of sites in US with hydro resource potential have < 30 feet of hydraulic head

New Approaches to Designing and Developing New Hydro



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New Technology Development –

Approach for New and Existing Hydro

2019 Competitive Funding for the Development of Standard Module Hydropower (SMH) Modules:

- Recently awards for module development for integration into an SMH facility
 - Two passage modules & one fish-friendly generation module
 - Other awards: on generation & sediment modules
- Utilize the Oak Ridge National Laboratory Design Envelop
- Reduce costs
 - Utilize advanced manufacturing

Develop a novel fish passage

module for low head

hydropower based on

Archimedes screw principles

Develop a fish passage module that can be used to accommodate multiple species simultaneously

Percheron Power, LLC

Littoral Power Systems



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Advance the design of a fishfriendly horizontal axial-flow low head generation module of a compact bulb

Natel Energy

Generation Module

Fish Passage Modules

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Flow

New Technology Development –

Approach for New and Existing Hydro

2017 Competitive Funding for the Development of Innovative New Fish Passage Technologies:

gravity-driven auxiliary

water supply

- Increase and verify performance of passage (safe, timely, effective) compared to best available tech
- Reduce costs:

Siphon Flow over Dan

Vertical Bypass

- Construction, operation, maintenance goals

Turbine Intake Bar Racks

Horizontal Zig-Zag Bypass

- Manufacturing - utilize standard, modular, scalable elements



Isometric View

University of Massachusetts Amherst

turning vane

array

(location TBD)

NTS

AWS dissipation pool

(dissipation structure

details not shown)

entrance

(gate optional)
Figure 1a. Entrance Palisade. Vertically oriented lattice and louvered

diffuser structure (or "palisade") and dissipation pool work in concert with

entrance to provide streamlined hydraulics for enhanced attraction

ENTRANCE PALISADE CONCEPT

Diffuser structures

or "palisade" (lattice & louver

details not shown)

combined

attraction

DE-FOA-0001662

BT, 10/20/2017

Testing the Effects of Innovative Fish Passage Technologies

lift/ladder

entrance channel

w/out floor diffusers

Figure 1b. Conventional sub-grade AWS with in-channel floor (or wall) diffusers producing

desirable turbulence and upwelling currents



Electric Power Research Institute

Advancing Innovative Methods and Technologies to Improve Fish Passage



Instruments, Data, and Tools (HydroPASSAGE Project) – *Approach for New and Existing Hydro*



 HydroPASSAGE is delivering tools to support turbine design and evaluation processes – to improve downstream fish passage

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- Software packages work with either CFD models or hydraulic measurements from the Sensor Fish
 - BioPA Tool

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- HBET
- This information is related to biological response data on different fish species to produce an Passage Quality Index (probability of impacts)
- Blade strike, rapid decompression, shear and turbulence can all be analyzed
- Data on over 26 species of fish is available – including salmon, eel, and shad.

Innovative Funding (Fish Protection Prize) – Approach for New and Existing Hydro

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- Identify and advance innovative technologies for fish exclusion for water diversions and intakes
- New partnership with the US Department of Reclamation and WPTO, with support from Pacific Northwest National Lab and the National Renewable Energy Lab.
- Funding to advance radically new ideas and ready to commercialize improvements to existing technologies
- Phased competition is crowd sourcing innovation and drawing ideas from other industries and the general public

AMERICAN MADE WATER PRIZE



https://www.herox.com/FishProtection



Learn about more WPTO projects with our projects map

- Interactive map
- Provides information on WPTO's R&D portfolio
- Features multiple filters to isolate specific details
- Contains historical information on completed projects with associated materials, research findings, and publication links



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https://energy.gov/eere/water/water-power-technologies-office-projects-map





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