## Full-scale pullout tests of rock anchors in limestone testing rock mass uplift failure

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## Background

- Rock anchors are high-capacity reinforcement measures.
- Consists of a steel tendon, anchor head and a bond length.
  - Between the anchor head and the bond length the tendon is free to elongate.
- They are used to stabilise large scale infrastructures.



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## Failure modes of rock achors

- In literature there are four principal failure modes of rock anchors:
  - 1. Rock mass uplift failure;
  - 2. Grout-rock interface failure;
  - 3. Tendon-grout interface failure; and
  - 4. Tensile failure of the anchor steel.



Deficiencies with current design method against rock mass uplift failure

- A review by Brown (2015) listed several deficiencies with the current design method:
  - Induced stresses from the anchor in the overlying rock mass is not considered.
  - All tests with rock mass failure has been with shallow anchors.
  - The effect of rock mass anisotropy on stresses and failure shape is not included.
  - The usage of a "theoretical" failure cone to calculate the rock mass capacity based upon presumptive and back calculated shear and tensile strength values is questionable.



#### Test setup

- 64 mm anchor of 2.5-3 m length at 1-1.5 m depth.
- Steel beam with 3 m span.
- 3500-kN hydraulic jack.
- Instrumentation:
  - Pressure gauge on jack.
  - LVDT on anchor.
  - Load cells in boreholes.
  - Extensometers in boreholes.
  - LVDT on aluminium truss.
  - Geophones on rock surface.
  - Optical televiewer.





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### Test procedure

- Load was applied in ways:
  - Continuous until failure with a load rate of 5-7.5 kN/s.
    - Two shortest anchors with less instrumentation.
  - Stepwise with each load step held for 5 minutes, with a load rate of 11.5 kN/s.
    - The borehole extensometers took only one reading per 5 minutes. Therefore, all load steps were held for 5 minutes to get a reading per step.
- After the tests, the anchors and the loose rocks around the anchor location was removed. Then the failure surface was scanned with the lidar on an iPad.









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#### Failure shape





#### Failure mode





## Load capacity of the rock mass

Anchor no.	A1m	B1m	A1.5m	B1.5m
Bonded section (m)	0.9	0.9	1.4	1.4
Maximum uplift load (kN)	2461.6	2309.5	2422.6	1946.2
Anchor displacement at max load (mm)	66.7	59.6	71.8	105.7
Cone volume ( $m^3$ )	2.28	2.27	3.55	3.66
Apex angle (degrees)				
- from extensometers	-	-	105-170	105-170
- from televiewer	-	-	-	125
- from profiles	127	131	142	132

Depth (m)	Apex angle (degrees)	Cohesion from back calculation (MPa)	Presumptive cohesion from NPRA (2018) (kPa)	Weight force (kN)	Max shear resistance from back calculation (kN)	Max shear resistance from NPRA (2018) design (kN)
0.9	90	0.5	50	20.2	1029	146
1.4	90	0.5	50	76.1	2312	327

## Conclusions

- The rock mass uplift capacity was higher than estimated with the current design method.
  - Current design method is very conservative for a medium hard rock mass.
- The estimation based upon back calculation of historical data was more accurate.
- The apex angle measured, 120-140°, was higher than the recommendation in literature, 60-90°.
- The horizontal stress increased slightly, which could indicate load arching in the rock mass around the anchor.

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### Further research

- It is necessary to test anchors at increasing depths to see if and how the failure shape and failure mechanism changes with depth.
  - Brown (2015) argued that the joints in the rock mass would have less effect on the failure at large depths.
  - One could also argue that the rock mass would be the strongest part of the anchor system after a certain depth.
    - Finding the critical depth would be useful, as in the current design method the rock mass uplift failure defines the anchoring depth and it often results in long anchors.
    - Bruce (1976) observed that the rock mass was the strongest part of the anchoring system when installed at depths (>2 m).



## References

- Brown ET (2015) Rock engineering design of posttensioned anchors for dams – a review. Journal of Rock Mechanics and Geotechnical Engineering 7(1):1-13, DOI https://doi.org/10.1016/j.jrmge.2014.08.001.
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# We want to thank our partners in the project for making this research possible!



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## Questions?



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