

# Opting Out of Facial Recognition



Gavin Taylor  
US Naval Academy



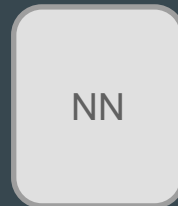
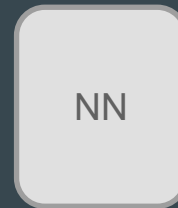
*Which Stores Are Scanning Your Face? No One Knows.*

**BankID på mobil blir historie: – Vil gjøre hverdagen enklere**

FBI, Pentagon helped research facial recognition for street cameras, drones

*Madison Square Garden Uses Facial Recognition to Ban Its Owner's Enemies*

# Facial Recognition Embeddings



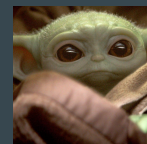


Dataset of “Gallery Images”



“Probe Image”

Facial Recognition System



Most similar faces



Overview

Search - SearchHTTpproDial: Circular input dial for iPhone s...

Favorite

Favorite



New Search

Search Results

Save this search

Show Links

Found 31 faces

History

Saved



31 matches  
Today at 9:06 AM



61 matches  
Today at 9:04 AM



Facebook hack: What we know  
<https://www.cnn.com/2018/10...>



Hi guys, I'm Donie O'Sullivan, a  
[https://imgur.com/r/The\\_Donal...](https://imgur.com/r/The_Donal...)



CNN Profiles - Donie O'Sullivan -  
<https://www.cnn.com/profiles/...>



Rockit Conference  
<https://rockit.md/>



Facebook 'sorry' for exposing  
<https://www.cnn.com/2018/12...>



Facebook 'sorry' for exposing  
<https://www.cnn.com/2018/12...>



Donie O'Sullivan of CNN Calls  
<https://www.youtube.com/watch...>



Texas family to get new piano  
<https://www.cnn.com/2017/08...>

MacBook Pro

www.gettyimages.com

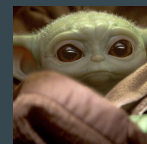


Dataset of “Gallery Images”



“Probe Image”

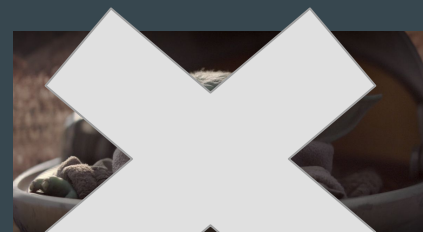
Facial Recognition System



Most similar faces

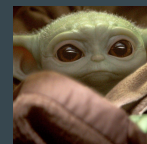


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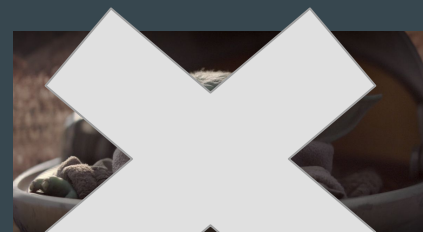


Most similar faces



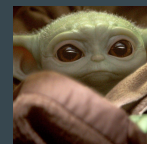


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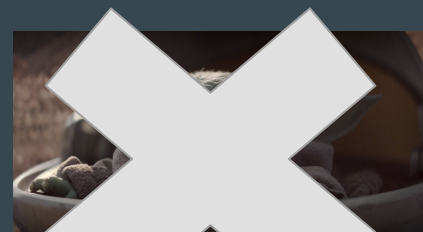
Facial Recognition System



Most similar faces

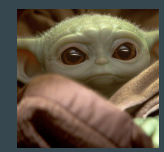


Dataset of "Gallery Images"

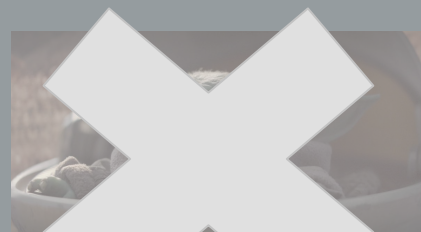
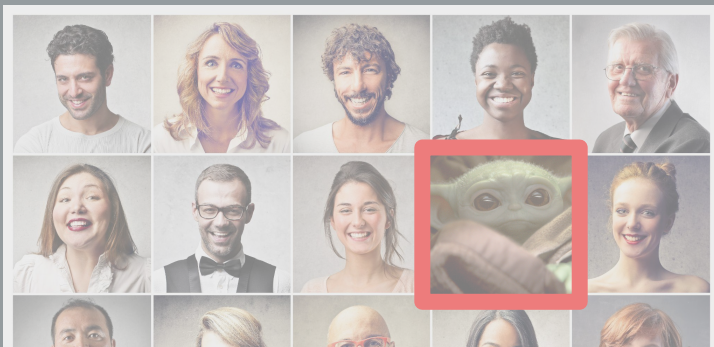


"Probe Image"

Facial Recognition System

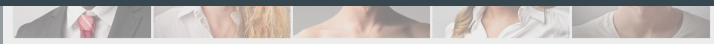


Most similar faces

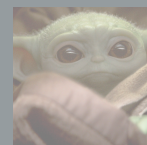


“Probe Image”

**GOAL: Manipulate Gallery Image to still serve its purpose, but make it unsuitable for comparison in a black-box facial recognition system.**



Dataset of “Gallery Images”

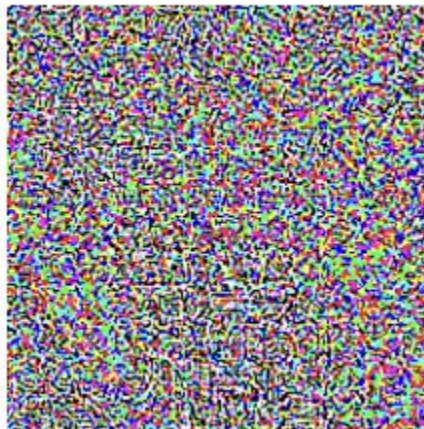


Most similar faces

# “Adversarial” examples for ML



+ .007 ×



=



**Panda, 57.7%  
confidence**

**Gibbon, 99.3%  
confidence**

# “Adversarial” examples for ML

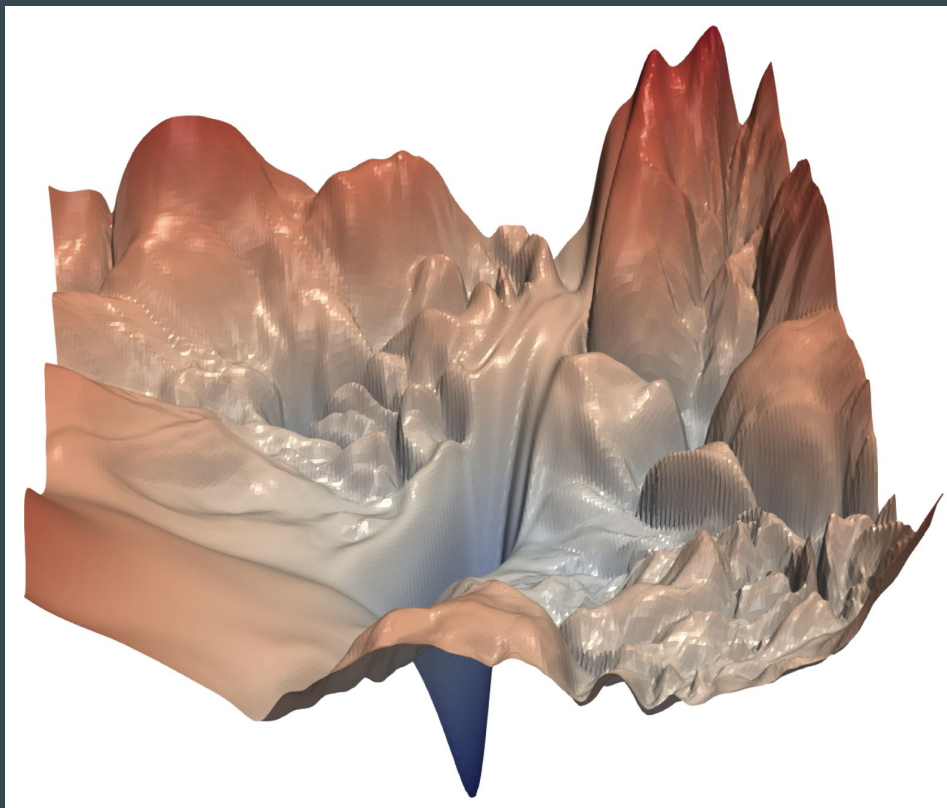


Panda, 57.7%  
confidence

Gibbon, 99.3%  
confidence

Given a neural network, its parameters  $\theta$ , an image  $x$ , and a loss function  $\mathcal{L}(x, \theta)$ , maximize the loss function by altering the image a limited amount ( $\|\Delta x\|_{\infty} < \epsilon$ ).

Why does this work?



# “Adversarial” examples for ML



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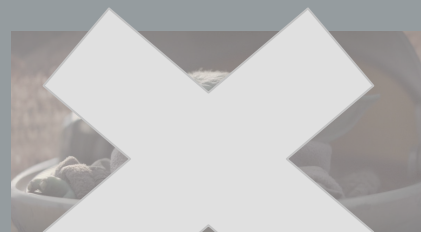
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## Black-box adversarial examples: “Ensemble” approach

Construct several neural networks, and construct adversarial perturbations that affect the loss function on all of them - empirically transferable

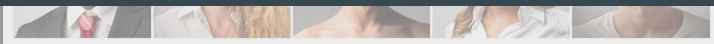
$$\sum_i L(x, \theta_i)$$



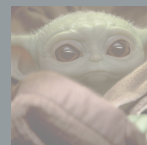


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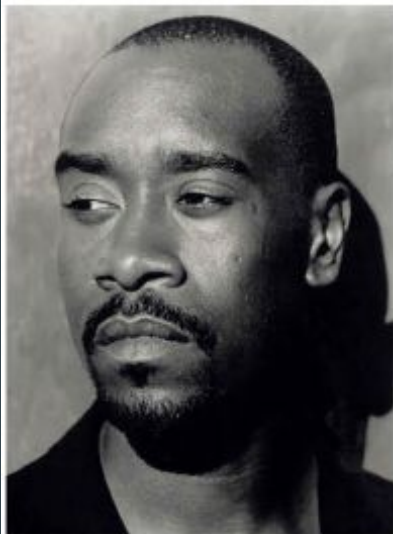
Most similar faces

# LowKey Optimization Function

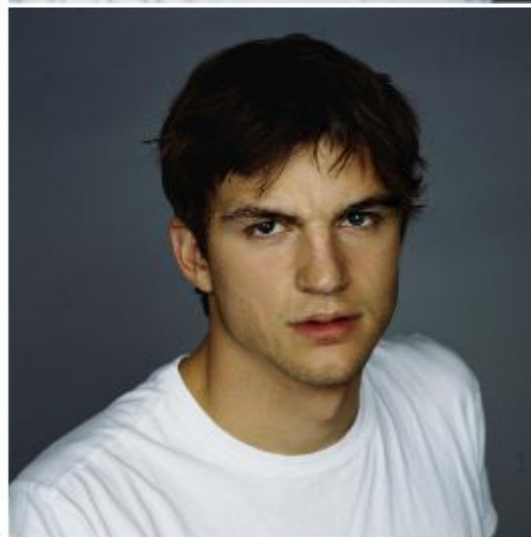
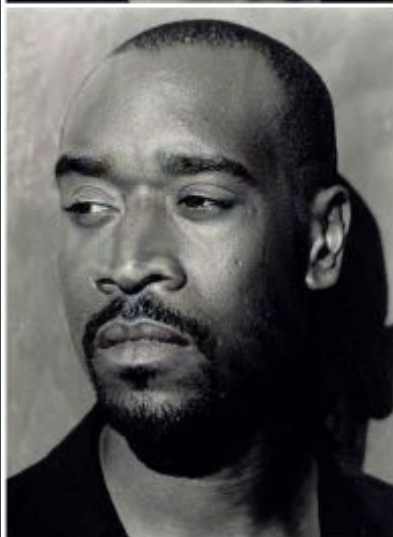
$$\max_{x'} \frac{1}{2n} \sum_i \frac{\|f_i(x) - f_i(x')\| + \|f_i(x) - f_i(G(x'))\|}{\|f_i(x)\|} - \alpha LPIPS(x, x')$$

- $x$ : Cropped and aligned facial image
- $f_i(x)$ : Embedding by model  $i$
- LPIPS: Measure of perceptual difference between two image
- $G(x)$ : Gaussian-smoothed facial image

Clean Images



Images protected  
with LowKey



# Effectiveness Against Industrial Black Boxes

- 100,000 images from 530 identities, plus 1 million distractor images
- 100 identities randomly chosen, and all images from those identities manipulated
- If any image from that identity appears in the set of possible matches, the facial recognition system has succeeded

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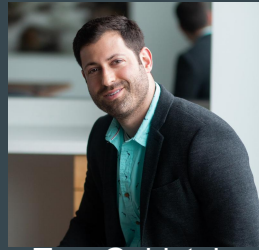
	Amazon Rank-1	Amazon Rank-50	Microsoft Rank-1
Clean	93.7%	95.4%	87.7%
LowKey	0.6%	2.4%	0.1%



Valeria Cherepanova



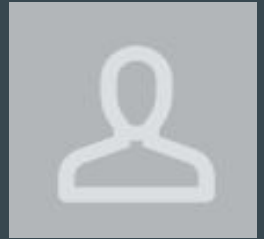
Micah Goldblum



Tom Goldstein



Harrison Foley



Shiyuan Duan



Try it yourself: <https://lowkey.umiacs.umd.edu/>