

# On continuous time bubbling for the harmonic map heat flow in two dimensions

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# Harmonic map heat flow

Gradient flow of the **Dirichlet energy**

$$E(u) := \frac{1}{2} \int_{\mathbb{R}^2} |\nabla u(x)|^2 dx,$$
$$u : \mathbb{R}^2 \rightarrow \mathbb{S}^2$$

solves the heat equation (**Eells, Sampson '64**):

$$u_t = \Delta u + |\nabla u|^2 u = \mathcal{T}(u)$$
$$u(0, \cdot) = u_0(\cdot)$$

**Tension:**  $\mathcal{T}(u) = \Pi_{T_u} \Delta u$  projection onto the tangent plane  $T_u$   
**Energy monotone:**

$$E(u(0)) - E(u(t)) = \int_0^t \|\partial_s(s, \cdot)\|_2^2 ds$$

Existence, regularity, energy concentration and singularities in finite time: (**Struwe '85**). **Harmonic maps** are stationary solutions to HMHF.

# Struwe's heat flow

Let  $\mathcal{M}, \mathcal{N}$  be general Riemannian manifolds,  $\dim M = 2$ .

Theorem (Struwe '85)

Initial data  $u_0 \in \dot{H}^1(\mathcal{M}; \mathcal{N})$ , there exists unique global HMHF energy evolution on  $[0, \infty) \times \mathbb{S}^2$  which is smooth up to finitely many points  $(x_\ell, T_\ell)$  characterized by the condition

$$\limsup_{t \rightarrow T_\ell^-} E_R(u(t, \cdot), x_\ell) > \varepsilon_0 > 0$$

for all  $0 < R \leq R_0$ .

Local compactness in  $\dot{H}^2(\mathcal{M}; \mathcal{N})$  if energy does not concentrate, and  $\int_P |\nabla u|^4 dt dx < \infty$  where  $P$  is a parabolic cylinder.

Energy concentration the only obstruction to local  $\dot{H}^2$  compactness of a Palais-Smale sequence relative to energy and its  $L^2$ -gradient.

Harmonic sphere bubbles off at any singular time.

Chang, Ding, Ye '92: Finite time blowup.

# Qing's bubbling theorem

Jie Qing '95 characterized singularity formation in Struwe's HMHF  $\mathbb{R}^2 \rightarrow \mathbb{S}^2$  via a **bubble decomposition along a carefully chosen sequence of times** approaching one of the singular times  $T_\ell$ .

Theorem (Qing '95)

Let  $(x_0, T_0)$  be a singularity of  $u : [0, \infty) \times \mathbb{R}^2 \rightarrow \mathbb{S}^2$ , HMHF solution. There exist  $t_n \rightarrow T_0^-$ , harmonic spheres  $\omega_k : \mathbb{R}^2 \rightarrow \mathbb{S}^2$

$$\lim_{t \rightarrow T_0^-} E_R(u(t, \cdot), x_0) = E_R(u(T_0, \cdot), x_0) + \sum_{k=1}^p E(\omega_k)$$

$$u(t_n, \cdot) = u(T_0, \cdot) + \sum_{k=1}^p \left( \omega_k \left( \frac{\cdot - a_n^k}{\lambda_n^k} \right) - \omega_k(\infty) \right) + o_{W^{1,2}(B_R)}(1)$$

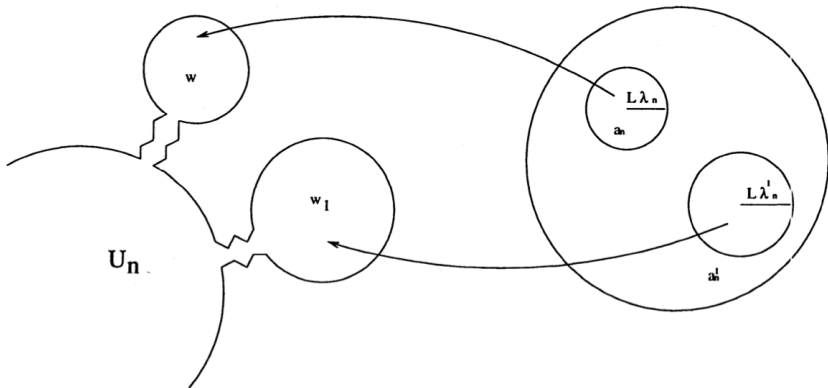
$R > 0$  small,  $\lambda_n^k \rightarrow 0$ ,  $a_n^k \rightarrow x_0$ . Bubbles asymptotically orthogonal.

Proved via bubbling for a Palais-Smale sequence.

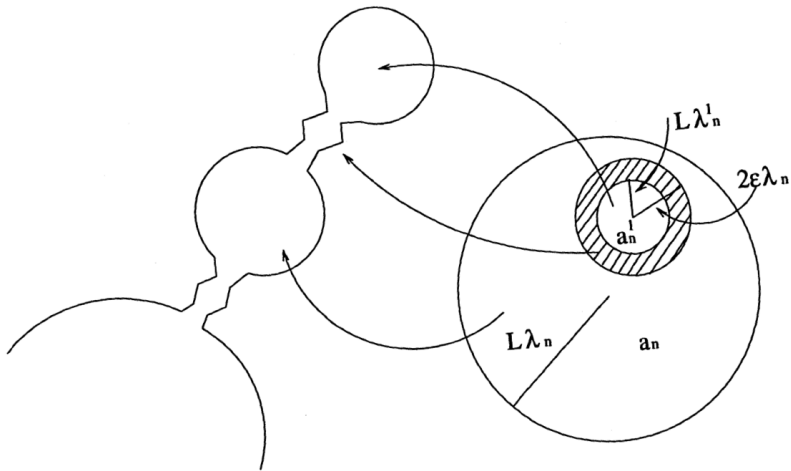
# Asymptotic orthogonality of the bubbles

For all  $k \neq \ell$ ,  $n \rightarrow \infty$

$$\frac{\lambda_n^k}{\lambda_n^\ell} + \frac{\lambda_n^\ell}{\lambda_n^k} + \frac{|a_n^k - a_n^\ell|^2}{\lambda_n^k \lambda_n^\ell} \rightarrow \infty \quad (1)$$



# Bubbles on bubbles (from Qing's paper)



## Theorem

$u : \mathbb{R}^2 \rightarrow \mathbb{S}^2$  weak non-constant solution of  $\Delta u + u|\nabla u|^2 = 0$  of finite energy. Then  $u : \mathbb{S}^2 \rightarrow \mathbb{S}^2$  smooth harmonic map (Hélein, Sacks-Uhlenbeck), nonzero degree. Conformal modulo orientation (Eells-Wood). Cauchy-Riemann system

$$\partial_1 u \mp u \times \partial_2 u = 0 \iff \partial_2 u \pm u \times \partial_1 u = 0$$

holds,  $u$  unique minimizer of energy in its homotopy class,  $E(u) = 4\pi|\deg(u)|$ . There exist  $P, Q \in \mathbb{C}[z]$  without common linear factor satisfying

$$\max(\deg(P), \deg(Q)) = |\deg(u)| \geq 1$$

and such that  $u = \frac{P}{Q}$  for  $\deg(u) > 0$ , or  $\bar{u} = \frac{P}{Q}$  for  $\deg(u) < 0$ .

# Key steps in the proof

- Hélein's regularity theorem (false in  $\mathbb{R}^d$ ,  $d \geq 3$ ). Div, curl structure, Hardy space compensated compactness (Coifman, Lions, Meyer, Semmes '92): continuity of weak solution. Then by elliptic regularity  $\nabla u \in L^p$ ,  $u \in C^\infty(\mathbb{R}^2)$
- Hopf quadratic differential

$$\varphi dz^2 = \langle \partial_z u, \partial_z u \rangle dz^2 = (|\partial_x u|^2 - |\partial_y u|^2 - 2i\langle u_x, u_y \rangle) dz^2$$

Harmonic map:  $\partial_{\bar{z}}\varphi = 0$  holomorphic on  $\mathbb{S}^2$ , constant.

Vanishes at  $z = \infty$  so conformality follows:

$$|\partial_x u|^2 - |\partial_y u|^2 - 2i\langle u_x, u_y \rangle = 0$$

- Bogomolnyi identity:

$$\mathcal{E}(u) = \frac{1}{2} \int_{\mathbb{R}^2} |\partial_1 u - u \times \partial_2 u|^2 + \int_{\mathbb{R}^2} \partial_1 u \cdot u \times \partial_2 u$$



# Elliptic compactness lemma: bubbling in energy and $L^\infty$

$u_n : \mathbb{R}^2 \rightarrow \mathbb{S}^2 \subset \mathbb{R}^3$  with  $\limsup_{n \rightarrow \infty} E(u_n) < \infty$ , and

$$\lim_{n \rightarrow \infty} \rho_n \|\mathcal{T}(u_n)\|_{L^2} = 0$$

for some  $\rho_n \in (0, \infty)$ . For arbitrary  $y_n \in \mathbb{R}^2$ ,  $\exists R_n \rightarrow \infty$  with

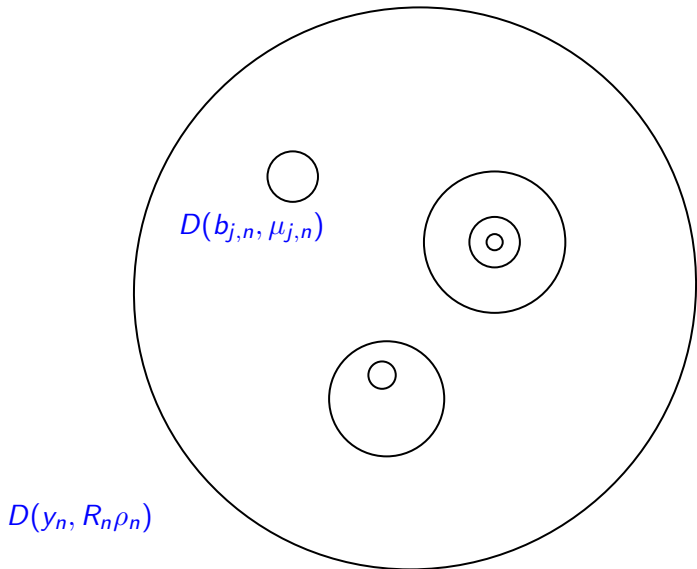
$$u_n - \omega_0\left(\frac{\cdot - y_n}{\rho_n}\right) - \sum_{j=1}^M \left(\omega_j\left(\frac{\cdot - b_{j,n}}{\mu_{j,n}}\right) - \omega_j(\infty)\right) \rightarrow 0$$

in energy and uniformly on  $D(y_n, R_n \rho_n) \supset D(b_{j,n}, \mu_{j,n})$

- harmonic maps  $\omega_j$ , nonconstant if  $j \geq 1$
- orthogonality of scales as in (1)
- separation of  $D(b_{j,n}, \mu_{j,n})$  from  $\partial D(y_n, R_n \rho_n)$
- quantization of energy:  $E(u_n; D(y_n, R_n \rho_n)) = 4\pi K + o(1)$

Qing '95, Ding-Tian '95, Wang '96, Qing-Tian '97, Lin-Wang '98

# Disks in the bubble tree



# Local Palais-Smale sequences for the heat flow

Smooth HMHF  $u : [0, T) \times \mathbb{S}^2 \rightarrow \mathbb{S}^2$ , singularity at  $t = T$ . **Energy dissipation**

$$\int_0^T \|\mathcal{T}(u)(t)\|_2^2 dt < \infty \quad (2)$$

- If  $T = \infty$ , then  $\exists t_n \rightarrow \infty$  with  $\sqrt{t_n} \|\mathcal{T}(u)(t_n)\|_2 \rightarrow 0$
- If  $T < \infty$ , then  $\exists t_n \rightarrow T-$  with  $\sqrt{T - t_n} \|\mathcal{T}(u)(t_n)\|_2 \rightarrow 0$

**Elliptic compactness** applies at these parabolic scales. **Rescale**

- If  $T = \infty$ , then  $u_n(y) := u(t_n, y_n + \sqrt{t_n} y)$  is **Palais-Smale**
- If  $T < \infty$ , then  $u_n(y) := u(t_n, y_n + \sqrt{T - t_n} y)$  is **Palais-Smale**

Bubbling for HMHF locally at parabolic scales along a time sequence  $t_n$  determined by  $L^2$  integrability (2).

# Open problems

- If  $T < \infty$ , is the **body map**  $u(T, \cdot)$  continuous?
- If  $T = \infty$ , are the points of energy concentration unique?
- **Uniqueness** of harmonic bubbles? Counterexamples by Topping if target manifold not  $\mathbb{S}^2$  (nonanalytic)
- **Continuous in time** bubbling (soliton resolution)?

Progress by **Topping**, '97, '04 for maps  $\mathbb{S}^2 \rightarrow \mathbb{S}^2$ .

Theorem (Topping, '97, '04)

*If  $T = \infty$  and if all the **concentrating** bubbles in the sequential decomposition have the **same orientation**, then the points of energy concentration  $\{x_\ell\} \subset \mathbb{S}^2$  are unique. Moreover, the body map is unique, i.e., there exists a harmonic map  $\omega_\infty : \mathbb{S}^2 \rightarrow \mathbb{S}^2$  such that  $u(t) \rightarrow \omega_\infty$  as  $t \rightarrow \infty$ , weakly in  $\dot{H}^1$  and strongly in  $C_{loc}^k(\mathbb{S}^2 \setminus \{x_\ell\})$ .*

# Soliton resolution in the equivariant case

Consider  $k$ -equivariant maps  $u : \mathbb{R}^2 \rightarrow \mathbb{S}^2$ , i.e.,

$$u(t, re^{i\theta}) = (\sin \psi(t, r) \cos k\theta, \sin \psi(t, r) \sin k\theta, \cos \psi(t, r))$$

Harmonic maps given by  $\psi(t, r) = m\pi \pm Q(r/\lambda)$  for  $m \in \mathbb{Z}$ ,  $\lambda > 0$ , and  $Q(r) = 2 \arctan(r^k)$ .

Theorem (Jendrej-Lawrie '22)

Let  $\psi(t, r)$  solve the HMHF. Suppose  $T = \infty$ . Then, there exist  $m \in \mathbb{Z}$ ,  $N \in \mathbb{N}$  and  $C^1$  functions  $0 < \lambda_1(t) < \dots < \lambda_N(t)$  such that,

$$\lim_{t \rightarrow T} \|\psi(t, \cdot) - m\pi - \sum_{j=1}^N \pm(Q(\cdot/\lambda_j(t)) - \pi)\|_{\mathcal{E}} = 0$$

and  $\lim_{t \rightarrow T} \sum \lambda_j(t)/\lambda_{j+1}(t) = 0$ . Similar when  $T < \infty$ .

Note:  $\lambda_{N+1}(t) := \sqrt{t}$ , and subsequent equivariant bubbles always have **opposite orientations** as maps  $\mathbb{R}^2 \rightarrow \mathbb{S}^2$ .

- **Van der Hout ('03)**: same result in the case  $T < \infty$  by showing there are no non-trivial equivariant bubble towers in finite time. In the case  $T = \infty$ , non-trivial bubble towers can occur; see for example **Del Pino, Musso, Wei ('21)** for a construction for the closely related energy critical heat equation.
- Finite time blow up solutions with one bubble (including a stable regime) were discovered by **Raphaël-Schweyer ('13, '14)** for  $k = 1$ . See also **Guan, Gustafson, Tsai ('09)** and **Gustafson, Nakanishi, Tsai ('10)** who proved asymptotic stability of  $Q$  for  $k \geq 3$ , and **Davila, Del Pino, Wei ('20)** for blow up outside of equivariant symmetry.
- Remainder of the talk: discuss a continuous in time bubble decomposition in the general case, i.e., for maps  $\mathbb{R}^2 \rightarrow \mathbb{S}^2$  **without symmetry assumptions** (as in Jendrej-Lawrie '22), and **without assumptions on the orientations of the bubbles** (as in Topping '97, '04).

# Multi-bubble configuration, centers, scales

**Centers and scales** of harmonic maps:  $\omega : \mathbb{R}^2 \rightarrow \mathbb{S}^2 \subset \mathbb{R}^3$  positive energy,  $\gamma_0 \in (0, 2\pi)$ , **scale** of  $\omega$

$$\lambda(\omega; \gamma_0) := \inf\{\lambda \in (0, \infty) \mid \exists a \in \mathbb{R}^2 \text{ s.t. } E(\omega; D(a, \lambda)) \geq E(\omega) - \gamma_0\}.$$

**Center of  $\omega$** : fix  $a = a(\omega; \gamma_0) \in \mathbb{R}^2$  with

$$E(\omega; D(a(\omega; \gamma_0), \lambda(\omega; \gamma_0))) \geq E(\omega) - \gamma_0.$$

**$M$ -bubble configuration**  $\Omega = (\omega_0, \omega_1, \dots, \omega_M)$

$$\mathcal{Q}(\Omega; x) = \omega_0 + \sum_{j=1}^M (\omega_j(x) - \omega_j(\infty))$$

where  $\omega_0 = \text{const} \in \mathbb{S}^2$ ,  $\omega_j : \mathbb{R}^2 \rightarrow \mathbb{S}^2$ ,  $j \geq 1$  non-constant harmonic maps,  $\omega_j(\infty) := \lim_{|x| \rightarrow \infty} \omega_j(x)$ . Constant maps:  $M = 0$ .

# Distance to a multi-bubble configuration

Smooth map  $u : \mathbb{R}^2 \rightarrow \mathbb{S}^2$ , multi-bubble  $\mathcal{Q}(\Omega)$ , disk  $D(y; \rho) \subset \mathbb{R}^2$ , auxiliary scales  $\vec{\nu} = (\nu, \nu_1, \dots, \nu_M)$ ,  $\vec{\xi} = (\xi, \xi_1, \dots, \xi_M)$ .

Distance  $\mathbf{d}(u, \mathcal{Q}(\Omega); D(y, \rho); \vec{\nu}, \vec{\xi}) \ll 1$  means

- closeness in energy to multi-bubble on the large disk:

$$E(u - \mathcal{Q}(\Omega); D(y, \rho)) \ll 1$$

- near constancy on the exterior neck region:

$$E(u; D(y, \nu) \setminus D(y, \xi)) + \|u - \omega_0\|_{L^\infty(D(y, \nu) \setminus D(y, \xi))} \ll 1$$

- large exterior neck:  $\xi \ll \rho \ll \nu$

- orthogonality of bubbles scales/centers:  $\lambda(\omega_j) \ll \lambda(\omega_k)$  or  $\lambda(\omega_j) \gg \lambda(\omega_k)$  or  $|a(\omega_j) - a(\omega_k)| \gg \lambda(\omega_j)$

- separation from exterior neck:

$$\xi_j \ll \lambda(\omega_j) \ll \text{dist}(a(\omega_j), \partial D(y, \xi))$$



- uniform closeness of  $u, \omega_j$  after removal of interior bubbles:

$$\|u - \omega_j\|_{L^\infty(D_j^*)} \ll 1$$

- Swiss cheese (holes are of the same size):

$$D_j^* := D(a(\omega_j), \nu_j) \setminus \bigcup'_k D(a(\omega_k), \xi_j).$$

- separation from boundaries:

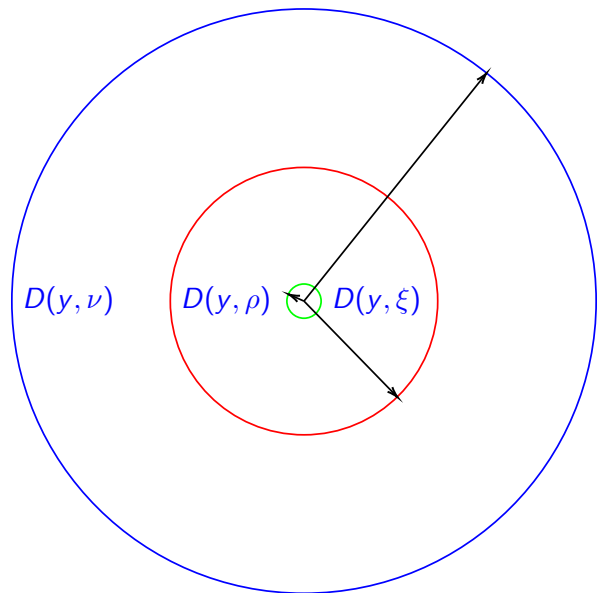
$$\xi_j \ll \text{dist}(a(\omega_k), \partial D(a(\omega_j), \nu_j)), \quad \lambda(\omega_j) \ll \nu_j$$

Local multi-bubble proximity function:

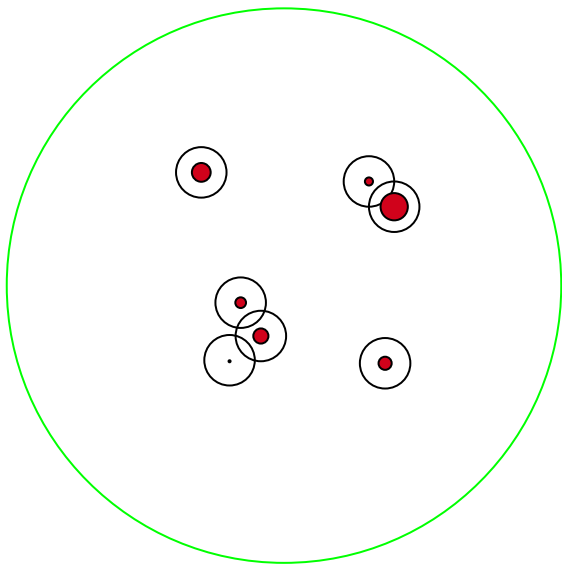
$$\delta(u; D(y, \rho)) := \inf_{\Omega, \vec{\nu}, \vec{\xi}} \mathbf{d}(u, \mathcal{Q}(\Omega); D(y, \rho); \vec{\nu}, \vec{\xi})$$

Infimum taken over all multi-bubble configurations, and scales  $\vec{\nu}, \vec{\xi}$

# Exterior neck region



# Swiss cheese structure



# Continuous time bubbling

Theorem (Jendrej, Lawrie, S. '23)

$u(t) : [0, T_+) \times \mathbb{R}^2 \rightarrow \mathbb{S}^2$  smooth HMHF solution, maximal  $T_+ = T_+(u_0) \in (0, \infty]$ . If  $T_+ < \infty$ , then  $\forall y \in \mathbb{R}^2$ ,

$$\lim_{t \rightarrow T_+} \delta(u(t); D(y, \sqrt{T_+ - t})) = 0.$$

Arbitrary  $t_n \rightarrow T_+$  and  $D(y_n, R_n \rho_n) \subset D(y, \sqrt{T_+ - t})$ ,  $R_n \rightarrow \infty$ , assume energy evacuates from necks of disks. Then,

$$\lim_{n \rightarrow \infty} \delta(u(t_n); D(y_n, \rho_n)) = 0.$$

Analogous statement on  $D(y, \sqrt{t})$  if  $T_+ = \infty$ .

Solution remains close to multi-bubble configurations at parabolic scales, **and on all smaller disks** whose boundaries do not intersect bubbles, for all times up to  $T_+$ .

# Comments on the theorem

- Analogous result when  $T_+ = \infty$
- Does not give the uniqueness of bubbles.
- How to think about the theorem: **non-existence of bubble collisions** that destroy multi-bubble structure.
- As a corollary, we obtain a sequential bubble decomposition as in Qing **along every time sequence**  $t_n \rightarrow T_+$  after passing to a subsequence (not just along Palais-Smale sequences)

# Comments on the proof

- Proof by contradiction:  $u(t)$  cannot come close to, and then move away from multi-bubble configurations (MBCs) infinitely many times. Reminiscent of **invariant manifold theory** in dynamical systems, theory of  $\omega$ -limit sets.
- However: linearized operator here has no spectral gap, no stable/unstable manifolds
- By *sequential* soliton resolution (bubbling along sequence of times) we know that we approach MBCs infinitely many times.
- If theorem fails,  $\delta(u(t_n); D(y_n, \rho_n)) > \eta > 0$  for  $t_n \rightarrow T_{+-}$ . By **energy dissipation** and **compactness lemma** exist  $\sigma_n$  with  $\delta(u(\sigma_n); D(y_n, \rho_n)) \rightarrow 0$  where  $0 < t_n - \sigma_n \ll \rho_n^2$
- Notions of **collision intervals** and **minimal collision energy** needed to lead this to a contradiction. This was essential for soliton resolution for wave maps by Jendrej, Lawrie '21.

# Propagation estimates: local energy

**Local energy propagation** (Struwe '85):  $0 < t_1 < t_2 < T_+$ ,

$$\int_{\mathbb{R}^2} |\nabla u(t_2, x)|^2 \phi(x)^2 dx \leq \int_{\mathbb{R}^2} |\nabla u(t_1, x)|^2 \phi(x)^2 dx + CE(u_0) \frac{t_2 - t_1}{R^2}$$

$$\int_{\mathbb{R}^2} |\nabla u(t_2, x)|^2 \phi(x)^2 dx \geq \int_{\mathbb{R}^2} |\nabla u(t_1, x)|^2 \phi(x)^2 dx - C \left( E(u_0) \frac{(t_2 - t_1)}{R^2} + |E(u(t_1)) - E(u(t_2))| \right)$$

$\phi$  cut-off adapted to  $D(x_0, R)$ .

- Integrate HMHF by parts against  $u_t \phi^2$ . Nonlinear term drops out, normal vector field.
- Controls energy flow on **parabolic regions**.
- Energy evacuates from boundaries of parabolic regions. **No self-similar** energy concentration both in finite (**Topping**) and infinite times.

# Tao's $L_t^2 L_x^\infty$ parabolic Strichartz estimate

**Lemma:** *Solution of  $\partial_t v - \Delta v = F$ ,  $v(0) = v_0$  satisfies*

$$\|v\|_{L^2(I; L^\infty(\mathbb{R}^2))} \leq C_0 (\|v_0\|_{L^2(\mathbb{R}^2)} + \|F\|_{L^1(I; L^2(\mathbb{R}^2))})$$

With  $(Tf)(t) := e^{t\Delta} f$  one has  $T^*F = \int_0^\infty e^{s\Delta} F(s) ds$ . From

$$(TT^*F)(t) = \int_0^\infty e^{(t+s)\Delta} F(s) ds$$

conclude

$$\|(TT^*F)(t)\|_\infty \lesssim \int_0^\infty (t+s)^{-1} \|F(s)\|_1 ds$$

$$\|TT^*F\|_{L^2((0,\infty), L^\infty(\mathbb{R}^2))} \lesssim \|F\|_{L^2((0,\infty), L^1(\mathbb{R}^2))}$$

$$\langle TT^*F, F \rangle = \|T^*F\|_2^2 \lesssim \|F\|_{L^2((0,\infty), L^1(\mathbb{R}^2))}^2$$



# Propagation estimates: pointwise bounds

**Lemma:** *On a Swiss cheese region with  $L \geq 0$  congruent, well-separated holes, assume*

$$\|u_{n,0} - \omega\|_{L^\infty(D(0,4R_n) \setminus \bigcup_{\ell=1}^L D(x_\ell, 4^{-1}\varepsilon_n))} + E\left(u_{n,0} - \omega; D(0,4R_n) \setminus \bigcup_{\ell=1}^L D(x_\ell, 4^{-1}\varepsilon_n)\right) \rightarrow 0.$$

Then, if  $\tau_n \ll \varepsilon_n^2$  (or  $\tau_n \ll R_n^2$  if  $L = 0$ ),

$$\|u_n(\tau_n) - \omega\|_{L^\infty(D(0,R_n) \setminus \bigcup_{\ell=1}^L D(x_\ell, \varepsilon_n))} \rightarrow 0.$$

- Contraction of heat flow on  $L^\infty$
- Tao's parabolic Strichartz estimate
- Struwe's small energy local  $\int (|\nabla u|^4 + |\Delta u|^2) dt dx$  bound

# Minimal collision energy

**Definition:**  $K \geq 1$  **minimal** with the following properties.

$\exists y_n \in \mathbb{R}^2$ ,  $\rho_n, \varepsilon_n \in (0, \infty)$ ,  $\sigma_n, \tau_n \in (0, T_+)$  and  $\eta > 0$ , with  $\varepsilon_n \rightarrow 0$ ,  $0 < \sigma_n < \tau_n < T_+$ ,  $\sigma_n, \tau_n \rightarrow T_+$ , so that

- 1  $\delta(u(\sigma_n); D(y_n, \rho_n)) \leq \varepsilon_n$ ;
- 2  $\delta(u(\tau_n); D(y_n, \rho_n)) \geq \eta$ ;
- 3 the interval  $I_n := [\sigma_n, \tau_n]$  satisfies  $|I_n| \leq \varepsilon_n \rho_n^2$ ;
- 4  $E(u(\sigma_n); D(y_n, \rho_n)) \rightarrow 4K\pi$  as  $n \rightarrow \infty$ ;

We call  $\sigma_n$  **bubbling times**, and  $\tau_n$  **ejection times**.

**Lemma:** *If theorem fails, then  $K \geq 1$  well-defined with collision intervals  $[\sigma_n, t_n]$ .*

Based on energy dissipation and localized sequential bubbling. For  $K > 0$  need **propagation estimates**, both in energy and  $L^\infty$ .

# Lengths of collision intervals

**Key Lemma:** Let  $K \geq 1$  minimal collision energy,  $I_n := [\sigma_n, \tau_n]$  associated collision intervals.  $\exists \varepsilon > 0$  such that if  $s_n \in I_n$  satisfies

$$\delta(u(s_n); D(y_n, \rho_n)) \leq \varepsilon$$

Then,

$$\tau_n - s_n \geq \varepsilon \max_{j \in \{1, \dots, M\}} \lambda(\omega_j)^2 =: \varepsilon \lambda_{\max, n}^2 \quad (3)$$

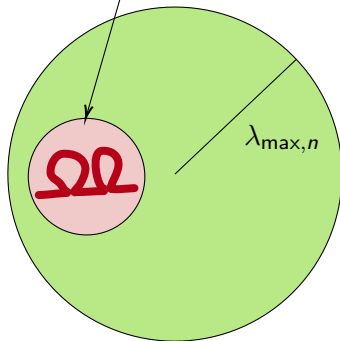
where scales  $\lambda(\omega_j)$  correspond to any MBC  $\mathcal{Q}(\omega)$  for which

$$\varepsilon \leq \mathbf{d}(u(s_n), \mathcal{Q}(\omega); D(y_n, \rho_n); \vec{v}, \vec{\xi}) \leq 2\varepsilon. \quad (4)$$

**Proof Sketch:** If lemma fails,  $\exists \tilde{\sigma}_n \in I_n$  with  $\tau_n - \tilde{\sigma}_n \ll \lambda_{\max, n}^2$  and for which  $\delta(u(\tilde{\sigma}_n); D(y_n, \rho_n)) \rightarrow 0$  and  $\delta(u(\tau_n); D(y_n, \rho_n)) \geq \eta$

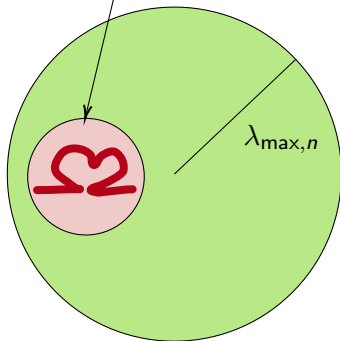
# Key lemma: proof sketch

$E \leq 4\pi(K-1) + o(1)$   
multi-bubble configuration



$t = \tilde{\sigma}_n$

$E \leq 4\pi(K-1) + o(1)$   
**NOT** multi-bubble configuration



$t = \tau_n$

- By propagation estimates, multi-bubble structure is preserved at scale  $\lambda_{\max, n}$  on the interval  $[\tilde{\sigma}_n, \tau_n]$ .
- Hence, it is lost at a smaller scale (pink disks, radius  $\sqrt{\tau_n - s_n} \ll \tilde{\rho}_n \ll \lambda_{\max, n}$ ), contradicting minimality of  $K$

# Main theorem: proof sketch

- Use **key lemma**: fix  $\varepsilon > 0$  and  $J_n := [s_n, \tau_n] \subset I_n$  so that

$$\tau_n - s_n \geq \varepsilon \lambda_{\max, n}^2, \quad \delta(u(t); D(y_n, \rho_n)) \geq \varepsilon, \quad \forall t \in J_n$$

(“no return property” on  $J_n$ ).

- Then,

$$\lambda_{\max, n} \|\mathcal{T}(u(t))\|_2 \geq c_0 > 0 \text{ for all } t \in J_n$$

Otherwise, bubbling at scale  $\lambda_{\max, n}$  at some  $t_n \in J_n$  by elliptic compactness lemma, contradicting no-return property of  $J_n$ .

- Contradiction with the energy identity:

$$\begin{aligned} \infty &= \sum_n \int_{s_n}^{\tau_n} c_0 \lambda_{\max, n}^{-2} dt \leq \sum_n \int_{s_n}^{\tau_n} \|\mathcal{T}(u(t))\|_{L^2}^2 dt \\ &\leq \int_0^{T^+} \|\mathcal{T}(u(t))\|_{L^2}^2 dt < \infty \end{aligned}$$